

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2020**Open to Public Inspection**Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.**A** For the 2020 calendar year, or tax year beginning

07/01, 2020, and ending

06/30, 2021

B Check if applicable:

- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Final return/terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization

UPMC

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)

Room/suite

600 GRANT ST, 58TH FL, C/O CORP TAX

City or town, state or province, country, and ZIP or foreign postal code

PITTSBURGH, PA 15219

F Name and address of principal officer:

EDWARD KARLOVICH

600 GRANT STREET, PITTSBURGH, PA 15219

D Employer identification number

25-1423657

E Telephone number

(412) 647-2345

G Gross receipts \$ 3,145,943,851.**H(a)** Is this a group return for subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. See instructions

H(c) Group exemption number ▶ 9707**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: ▶ WWW.UPMC.COM**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: 1982**M** State of legal domicile: PA**Part I Summary**

Activities & Governance	1 Briefly describe the organization's mission or most significant activities:	SUPPORT OF SUBSIDIARY TAX-EXEMPT HEALTHCARE, EDUCATION AND RESEARCH ORGANIZATIONS			
	2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.				
	3 Number of voting members of the governing body (Part VI, line 1a)	3	24.		
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	16.		
	5 Total number of individuals employed in calendar year 2020 (Part V, line 2a)	5	0.		
	6 Total number of volunteers (estimate if necessary)	6	0.		
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	11,617,749.		
b Net unrelated business taxable income from Form 990-T, Part I, line 11	7b	0.			
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	0.	Current Year	0.
	9 Program service revenue (Part VIII, line 2g)	103,958,615.	110,555,080.		
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	199,322,260.	206,861,881.		
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	3,000,000.	3,000,000.		
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	306,280,875.	320,416,961.		
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	166,667.	500,000.		
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.		
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.		
	16a Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.		
	b Total fundraising expenses (Part IX, column (D), line 25) ▶	0.			
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	381,006,468.	383,783,345.		
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	381,173,135.	384,283,345.		
19 Revenue less expenses. Subtract line 18 from line 12	-74,892,260.	-63,866,384.			
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year	11407173255.	End of Year	11055351368.
	21 Total liabilities (Part X, line 26)	11053782603.	10992252005.		
	22 Net assets or fund balances. Subtract line 21 from line 20.	353,390,652.	63,099,363.		

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here ▶ Signature of officer 05/11/2022
Date
 ▶ EDWARD T KARLOVICH EXECUTIVE VP & CFO
 ▶ Type or print name and title

Paid Preparer Use Only Print/Type preparer's name Preparer's signature Date Check ☒ if self-employed PTIN
 ROBERT VUILLEMOT 05/11/2022
 Firm's name ▶ ERNST & YOUNG US LLP Firm's EIN ▶ 34-6565596
 Firm's address ▶ 2100 ONE PPG PLACE PITTSBURGH, PA 15222 Phone no. 412 644-7800

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2020)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☐ Yes ☒ No**1** Briefly describe the organization's mission:SUPPORT OF SUBSIDIARY TAX-EXEMPT HEALTHCARE, EDUCATION AND RESEARCH
ORGANIZATIONS (SEE SCHEDULE O)**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code:) (Expenses \$ 384,283,345. including grants of \$ 500,000.) (Revenue \$ 113,555,080.)
SEE SCHEDULE O**4b** (Code:) (Expenses \$ including grants of \$) (Revenue \$)**4c** (Code:) (Expenses \$ including grants of \$) (Revenue \$)**4d** Other program services (Describe on Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses ▶ 384,283,345.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A.	☒	
2 Is the organization required to complete Schedule B, Schedule of Contributors See instructions?		☒
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I.		☒
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II.		☒
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III.		☒
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I.		☒
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II.		☒
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III.		☒
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV.		☒
10 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? If "Yes," complete Schedule D, Part V.		☒
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.	☒	
b Did the organization report an amount for investments-other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.	☒	
c Did the organization report an amount for investments-program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.		☒
d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.	☒	
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.	☒	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X.	☒	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII.		☒
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional.	☒	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E.		☒
14a Did the organization maintain an office, employees, or agents outside of the United States?		☒
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV.	☒	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV.	☒	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV.		☒
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I See instructions.		☒
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II.		☒
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III.		☒
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H.		☒
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II.		☒

Part IV Checklist of Required Schedules (continued)

	Yes	No
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J.</i>		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	X	
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		X
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		X
24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		X
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I.</i>		X
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I.</i>		X
26 Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part II.</i>		X
27 Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions, for applicable filing thresholds, conditions, and exceptions):		
28a A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV</i>		X
28b A family member of any individual described in line 28a? <i>If "Yes," complete Schedule L, Part IV</i>	X	
28c A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? <i>If "Yes," complete Schedule L, Part IV</i>	X	
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II.</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I.</i>	X	
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1.</i>	X	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	X	
35b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	X	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2.</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note: All Form 990 filers are required to complete Schedule O.	X	

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

	Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	3,937	
1b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	0	
1c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

	Yes	No
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return. 2a 0.		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	X
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O	3b	X
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . .	4a	X
b If "Yes," enter the name of the foreign country ATTACHMENT 1 See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	X
c If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7 Organizations that may receive deductible contributions under section 170(c).		
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	X
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	X
d If "Yes," indicate the number of Forms 8282 filed during the year 7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? .	7h	
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8	
9 Sponsoring organizations maintaining donor advised funds.		
a Did the sponsoring organization make any taxable distributions under section 4966?	9a	
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b	
10 Section 501(c)(7) organizations. Enter:		
a Initiation fees and capital contributions included on Part VIII, line 12	10a	
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11 Section 501(c)(12) organizations. Enter:		
a Gross income from members or shareholders	11a	
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13 Section 501(c)(29) qualified nonprofit health insurance issuers.		
a Is the organization licensed to issue qualified health plans in more than one state? Note: See the instructions for additional information the organization must report on Schedule O.	13a	
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c Enter the amount of reserves on hand	13c	
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O	14b	
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N.	15	X
16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.	16	X

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O.	24	
1b Enter the number of voting members included on line 1a, above, who are independent.	16	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	X	
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person?		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6 Did the organization have members or stockholders?		X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	X	
7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	X	
b Each committee with authority to act on behalf of the governing body?	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses on Schedule O.		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?		X
10b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
11b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
12b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	X	
b Other officers or key employees of the organization	X	
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	X	
16b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	X	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ► CA, FL, MD, NY, PA, VA,

18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☒ Own website ☐ Another's website ☒ Upon request ☐ Other (explain on Schedule O)

19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records ►
UPMC CORPORATE TAX 600 GRANT STREET PITTSBURGH, PA 15219 (412) 647-2345

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response or note to any line in this Part VII ☒ X**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) NONE SEE SCHEDULE O	0.			X				0.	0.	0.
(2)										
(3)										
(4)										
(5)										
(6)										
(7)										
(8)										
(9)										
(10)										
(11)										
(12)										
(13)										
(14)										

Part VII	Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees <i>(continued)</i>
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(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
1b Sub-total								0.	0.	0.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								0.	0.	0.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 0.

		Yes	No
3	Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>		X
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
SEE SCHEDULE O		
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ► 0.		

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☒

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions) . .	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above .	1f				
	g	Noncash contributions included in lines 1a-1f.	1g	\$			
	h	Total. Add lines 1a-1f		0.			
Program Service Revenue	Business Code						
	2a	OTHER INCOME	900099	7,351,818.	7,351,818.		
	b	EXP REIMB FROM SUBS	900099	103,203,262.	103,203,262.		
	c						
	d						
	e						
	f	All other program service revenue					
	g	Total. Add lines 2a-2f		110,555,080.			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts).		-43,863,685.		11,617,749.	-55,481,434.
	4	Income from investment of tax-exempt bond proceeds .		0.			
	5	Royalties		3,000,000.	3,000,000.		
	6a	Gross rents	6a	(i) Real	(ii) Personal		
	b	Less: rental expenses	6b				
	c	Rental income or (loss)	6c				
	d	Net rental income or (loss)		0.			
	7a	Gross amount from sales of assets other than inventory	7a	(i) Securities	(ii) Other		
	b	Less: cost or other basis and sales expenses . .	7b				
	c	Gain or (loss)	7c				
	d	Net gain or (loss)		250,725,566.		250,725,566.	
	8a	Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18	8a		0.		
	b	Less: direct expenses	8b		0.		
	c	Net income or (loss) from fundraising events.		0.			
	9a	Gross income from gaming activities. See Part IV, line 19	9a		0.		
	b	Less: direct expenses	9b		0.		
	c	Net income or (loss) from gaming activities.		0.			
	10a	Gross sales of inventory, less returns and allowances	10a		0.		
b	Less: cost of goods sold	10b		0.			
c	Net income or (loss) from sales of inventory.		0.				
Miscellaneous Revenue	Business Code						
	11a						
	b						
	c						
	d	All other revenue					
	e	Total. Add lines 11a-11d		0.			
12	Total revenue. See instructions		320,416,961.	113,555,080.	11,617,749.	195,244,132.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	0.			
2 Grants and other assistance to domestic individuals. See Part IV, line 22	0.			
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	500,000.	500,000.		
4 Benefits paid to or for members	0.			
5 Compensation of current officers, directors, trustees, and key employees	0.			
6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0.			
7 Other salaries and wages	0.			
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	0.			
9 Other employee benefits	0.			
10 Payroll taxes	0.			
11 Fees for services (nonemployees):				
a Management	0.			
b Legal	166,227.	166,227.		
c Accounting	0.			
d Lobbying	0.			
e Professional fundraising services. See Part IV, line 17	0.			
f Investment management fees	18,734,628.	18,734,628.		
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	3,358,341.	3,358,341.		
12 Advertising and promotion	0.			
13 Office expenses	1,349,087.	1,349,087.		
14 Information technology	3,694,819.	3,694,819.		
15 Royalties	0.			
16 Occupancy	30,781,550.	30,781,550.		
17 Travel	4,390.	4,390.		
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
19 Conferences, conventions, and meetings	0.			
20 Interest	171,324,416.	171,324,416.		
21 Payments to affiliates	0.			
22 Depreciation, depletion, and amortization	32,998,968.	32,998,968.		
23 Insurance	97,544.	97,544.		
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a MEDICAL EXPENSES	63,824,371.	63,824,371.		
b AFFILIATE SUPPORT	25,852,989.	25,852,989.		
c PURCHASED SERVICES	6,039,667.	6,039,667.		
d LOSS ON DEFEASANCE OF DEBT	6,908,523.	6,908,523.		
e All other expenses	18,647,825.	18,647,825.		
25 Total functional expenses. Add lines 1 through 24e	384,283,345.	384,283,345.		
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720)	0.			

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	175,751,073.	1	27,621,739.
	2 Savings and temporary cash investments.	2,395,498,597.	2	117,029,631.
	3 Pledges and grants receivable, net	0.	3	0.
	4 Accounts receivable, net.	59,862,618.	4	163,330,740.
	5 Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons	0.	5	0.
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B).	0.	6	0.
	7 Notes and loans receivable, net	7,638,949.	7	6,535,213.
	8 Inventories for sale or use	24,958,096.	8	30,094,854.
	9 Prepaid expenses and deferred charges	25,053,773.	9	31,248,238.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 713,123,114.		
	b Less: accumulated depreciation.	10b 464,995,886.		
		256,074,074.	10c	248,127,228.
	11 Investments - publicly traded securities.	1,828,493,022.	11	2,893,095,399.
	12 Investments - other securities. See Part IV, line 11.	1,192,820,078.	12	1,833,701,180.
	13 Investments - program-related. See Part IV, line 11.	144,972,386.	13	258,051,598.
	14 Intangible assets	0.	14	0.
15 Other assets. See Part IV, line 11	5,296,050,589.	15	5,446,515,548.	
16 Total assets. Add lines 1 through 15 (must equal line 33)	11407173255.	16	11055351368.	
Liabilities	17 Accounts payable and accrued expenses.	1,902,825,777.	17	1,065,982,728.
	18 Grants payable	0.	18	0.
	19 Deferred revenue.	755,437.	19	667,901.
	20 Tax-exempt bond liabilities.	4,279,136,309.	20	3,882,949,112.
	21 Escrow or custodial account liability. Complete Part IV of Schedule D.	0.	21	0.
	22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons	0.	22	0.
	23 Secured mortgages and notes payable to unrelated third parties	1,946,107,236.	23	1,343,662,262.
	24 Unsecured notes and loans payable to unrelated third parties.	0.	24	0.
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	2,924,957,844.	25	4,698,990,002.
	26 Total liabilities. Add lines 17 through 25.	11053782603.	26	10992252005.
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here ☒ and complete lines 27, 28, 32, and 33.			
	27 Net assets without donor restrictions	353,318,303.	27	63,071,834.
	28 Net assets with donor restrictions.	72,349.	28	27,529.
	Organizations that do not follow FASB ASC 958, check here ☐ and complete lines 29 through 33.			
	29 Capital stock or trust principal, or current funds		29	
	30 Paid-in or capital surplus, or land, building, or equipment fund.		30	
	31 Retained earnings, endowment, accumulated income, or other funds.		31	
	32 Total net assets or fund balances	353,390,652.	32	63,099,363.
	33 Total liabilities and net assets/fund balances.	11407173255.	33	11055351368.

Form **990** (2020)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	320,416,961.
2	Total expenses (must equal Part IX, column (A), line 25)	2	384,283,345.
3	Revenue less expenses. Subtract line 2 from line 1	3	-63,866,384.
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	353,390,652.
5	Net unrealized gains (losses) on investments	5	839,861,081.
6	Donated services and use of facilities	6	0.
7	Investment expenses	7	0.
8	Prior period adjustments	8	0.
9	Other changes in net assets or fund balances (explain on Schedule O).	9	-1,066,285,986.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	10	63,099,363.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII. ☒

- 1** Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:
☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:
☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits . . .

	Yes	No
2a		X
2b	X	
2c	X	
3a	X	
3b	X	

Form **990** (2020)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

**Open to Public
Inspection**

Name of the organization

UPMC

Employer identification number

25-1423657

Part I Reason for Public Charity Status. (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: _____
- 10 ☐ An organization that normally receives (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12 ☒ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**.
Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c ☒ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations 93

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
ATTACHMENT 1						
(A)						
(B)						
(C)						
(D)						
(E)						
Total					1,977,610,187.	

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2020

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Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3.						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
7 Amounts from line 4.						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2020 (line 6, column (f), divided by line 11, column (f))	14	%
15 Public support percentage from 2019 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2020. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here . The organization qualifies as a publicly supported organization.	☐	
b 33 1/3% support test - 2019. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here . The organization qualifies as a publicly supported organization	☐	
17a 10%-facts-and-circumstances test - 2020. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here . Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization.	☐	
b 10%-facts-and-circumstances test - 2019. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here . Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization.	☐	
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions	☐	

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II.
If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513 .						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b.						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. ☐						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2020 (line 8, column (f), divided by line 13, column (f))	15	%
16 Public support percentage from 2019 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2020 (line 10c, column (f), divided by line 13, column (f))	17	%
18 Investment income percentage from 2019 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2020. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization . ☐

b 33 1/3% support tests - 2019. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		X
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		X
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer lines 3b and 3c below.</i>		X
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.</i>		X
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>	X	
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>	X	
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		X
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		X
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		X
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		X
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		X
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		X
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in lines 11b and 11c below, the governing body of a supported organization?		X
b A family member of a person described in line 11a above?		X
c A 35% controlled entity of a person described in line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide detail in Part VI .		X

Section B. Type I Supporting Organizations

	Yes	No
1 Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers, directors, or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	X	
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).	X	
3 By reason of the relationship described in line 2, above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.	X	

Section E. Type III Functionally Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).			
a ☒ The organization satisfied the Activities Test. Complete line 2 below.			
b ☐ The organization is the parent of each of its supported organizations. Complete line 3 below.			
c ☐ The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see instructions).			
2 Activities Test. Answer lines 2a and 2b below.			
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.	X		
b Did the activities described in line 2a, above, constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.	X		
3 Parent of Supported Organizations. Answer lines 3a and 3b below.			
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? If "Yes" or "No," provide details in Part VI .			
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.			

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (*explain in Part VI*). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3.	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8		

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d Total (add lines 1a, 1b, and 1c)	1d		
e Discount claimed for blockage or other factors (<i>explain in detail in Part VI</i>):	1e		
2 Acquisition indebtedness applicable to non-exempt-use assets	2		
3 Subtract line 2 from line 1d.	3		
4 Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by 0.035.	6		
7 Recoveries of prior-year distributions	7		
8 Minimum Asset Amount (add line 7 to line 6)	8		

Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, column A)	1		
2 Enter 0.85 of line 1.	2		
3 Minimum asset amount for prior year (from Section B, line 8, column A)	3		
4 Enter greater of line 2 or line 3.	4		
5 Income tax imposed in prior year	5		
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6		

7 ☐ Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

Schedule A (Form 990 or 990-EZ) 2020

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions		Current Year
1	Amounts paid to supported organizations to accomplish exempt purposes	1
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	3
4	Amounts paid to acquire exempt-use assets	4
5	Qualified set-aside amounts (prior IRS approval required - <i>provide details in Part VI</i>)	5
6	Other distributions (<i>describe in Part VI</i>). See instructions.	6
7	Total annual distributions. Add lines 1 through 6.	7
8	Distributions to attentive supported organizations to which the organization is responsive (<i>provide details in Part VI</i>). See instructions.	8
9	Distributable amount for 2020 from Section C, line 6	9
10	Line 8 amount divided by line 9 amount	10

Section E - Distribution Allocations (see instructions)		(i) Excess Distributions	(ii) Underdistributions Pre-2020	(iii) Distributable Amount for 2020
1	Distributable amount for 2020 from Section C, line 6			
2	Underdistributions, if any, for years prior to 2020 (reasonable cause required - <i>explain in Part VI</i>). See instructions.			
3	Excess distributions carryover, if any, to 2020			
a	From 2015			
b	From 2016			
c	From 2017			
d	From 2018			
e	From 2019			
f	Total of lines 3a through 3e			
g	Applied to underdistributions of prior years			
h	Applied to 2020 distributable amount			
i	Carryover from 2015 not applied (see instructions)			
j	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4	Distributions for 2020 from Section D, line 7: \$			
a	Applied to underdistributions of prior years			
b	Applied to 2020 distributable amount			
c	Remainder. Subtract lines 4a and 4b from line 4.			
5	Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, <i>explain in Part VI</i> . See instructions.			
6	Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. For result greater than zero, <i>explain in Part VI</i> . See instructions.			
7	Excess distributions carryover to 2021. Add lines 3j and 4c.			
8	Breakdown of line 7:			
a	Excess from 2016			
b	Excess from 2017			
c	Excess from 2018			
d	Excess from 2019			
e	Excess from 2020			

Schedule A (Form 990 or 990-EZ) 2020

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

SCHEDULE A, PART I

THE SUPPORT AMOUNT LISTED FOR THE UNIVERSITY OF PITTSBURGH IS THE TOTAL SUPPORT PROVIDED BY UPMC AND ALL OF ITS SUBSIDIARIES FOR RESEARCH AND ACADEMIC MATTERS FOR FISCAL YEAR 2021.

SCHEDULE A, PART IV

SOME OF THE ENTITIES LISTED AS UPMC'S SUPPORTED ORGANIZATIONS IN ATTACHMENT 1 BELOW WERE INACTIVE DURING THE TAX YEAR ENDED JUNE 30, 2021.

AS SUCH, NO MONETARY OR OTHER SUPPORT WAS PROVIDED TO THESE ORGANIZATIONS, THUS RENDERING NOTICE OF SUPPORT UNNECESSARY.

SECTION A, QUESTION 1, 5A AND 6

QUESTION 1 UPMC PRESBYTERIAN SHADYSIDE AND THE UNIVERSITY OF PITTSBURGH ARE BOTH IDENTIFIED IN UPMC'S ARTICLES OF INCORPORATION AS SUPPORTED ORGANIZATIONS. THE OTHER SUPPORTED ORGANIZATIONS ARE DESIGNATED BY CLASS AND/ OR PURPOSE. AS PER THE UPMC AMENDED AND RESTATED ARTICLES OF INCORPORATION, UPMC SUPPORTS ENTITIES DESCRIBED AS IRC 509(A) (1) AND 509(A) (2) ORGANIZATIONS. THE MAJORITY OF UPMC'S SUPPORTED ORGANIZATIONS ARE 509(A) (1) HOSPITALS. UPMC ALSO SUPPORTS CANCER CENTERS IN THE TREATMENT OF PATIENTS AND RESEARCH ALONG WITH SENIOR COMMUNITIES WHO LOOK AFTER THE ELDERLY AND PHYSICIAN PRACTICE PLANS IN A VARIETY OF SPECIALTIES, AS WELL AS OTHER RELATED ORGANIZATIONS WHOSE ACTIVITIES ARE DIRECTLY IN FURTHERANCE OF UPMC'S EXEMPT MISSION. UPMC HAS SUPPORTED THESE ORGANIZATIONS WITHIN A RANGE OF 1 TO 39 YEARS WITH THE RELATIONSHIP CONTINUING INDEFINITELY. THIS HISTORIC AND CONTINUING RELATIONSHIP

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

EXISTS AND AS A RESULT, THERE IS A SUBSTANTIAL IDENTITY OF INTERESTS

BETWEEN THE ORGANIZATIONS - E.G., FURTHERING THE HEALTH, EDUCATIONAL,

AND RESEARCH MISSION OF THE UPMC HEALTH SYSTEM.

QUESTION 5A

(I) UPMC SUSQUEHANNA EIN: 23-2751183

(II) PUBLIC CHARITY STATUS IS A 509(A) (3) SUPPORTING ORGANIZATION

DESIGNATION CHANGED TO A SUPPORTING ORGANIZATION

(III) AUTHORITY AS REQUIRED BY UPMC ARTICLES OF INCORPORATION

(IV) IRS DETERMINATION

(I) TIOGA HEALTH CARE PROVIDERS EIN: 25-1765538

(II) DESIGNATION CHANGE TO PUBLIC CHARITY STATUS HOSPITAL OR

COOPERATIVE HOSPITAL SERVICE ORGANIZATION

(III) AUTHORITY AS REQUIRED BY UPMC ARTICLES OF INCORPORATION

(IV) IRS DETERMINATION

QUESTION 6 CONTRIBUTIONS TO UPMC ITALY ENHANCE THE ABILITY FOR UPMC

PRESBYTERIAN SHADYSIDE TO ACQUIRE DATA IN THE AREA OF LIVER AND OTHER

TRANSPLANTATIONS. RESEARCH IS ONE OF THE CORE MISSIONS OF UPMC

PRESBYTERIAN SHADYSIDE.

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

SECTION D, QUESTION 3

THE SUPPORTED ORGANIZATION OFFICERS AND DIRECTORS THAT SERVE AS UPMC OFFICERS AND/OR DIRECTORS ATTEND REGULAR UPMC BOARD AND OTHER MEETINGS, HAVE ONGOING COMMUNICATION WITH OTHER UPMC DIRECTORS AND OFFICERS, AND ARE PROVIDED WITH AND HAVE ACCESS TO UPMC FINANCIAL AND OTHER INFORMATION. AS A RESULT OF THE ABOVE, THE SUPPORTED ORGANIZATION OFFICERS THAT SERVE AS UPMC OFFICERS AND/OR DIRECTORS ARE ABLE TO VOTE AND/OR OPINE ON UPMC ACTIVITIES AND INITIATIVES AFFECTING THE SUPPORTED ORGANIZATION.

SECTION E, QUESTIONS 2A AND 2B

QUESTION 2A - UPMC IS THE PARENT ORGANIZATION AND SUPPORTING ORGANIZATION OF HEALTHCARE RELATED ENTITIES WITHIN A LARGE INTEGRATED HEALTHCARE DELIVERY SYSTEM OF CONTROLLED SUBSIDIARIES. UPMC'S PRIMARY MISSION IS TO PROVIDE THE ONGOING, OVERARCHING SUPPORT AND INFRASTRUCTURE TO ALL OF ITS EXEMPT SUBSIDIARIES TO ASSIST THEM IN ACCOMPLISHING EACH OF THEIR DISCRETE EXEMPT EDUCATIONAL, HEALTHCARE AND RESEARCH MISSIONS FOR WHICH THEY WERE RECOGNIZED UNDER §501(C)(3) BY THE INTERNAL REVENUE SERVICE. IF UPMC AS THE PARENT AND SUPPORTING ORGANIZATION DID NOT SUPPLY THE SUPPORT, EACH INDIVIDUAL ENTITY WOULD SEPARATELY ENGAGE IN THESE SAME ACTIVITIES TO SUPPORT ITS SEPARATE STRUCTURE.

QUESTION 2B - IF THE UPMC SUPPORTING PARENT ORGANIZATION DID NOT PROVIDE THE SUPPORT THAT IT CURRENTLY DOES FOR ALL OF ITS SUPPORTED EXEMPT ENTITIES THESE ENTITIES WOULD HAVE TO UNDERTAKE THE OVERSIGHT AND

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

PROVISION OF ALL SUCH MANAGEMENT AND INFRASTRUCTURE ACTIVITIES CURRENTLY

PROVIDED BY THE SUPPORTING ORGANIZATION SO THAT THEY INDIVIDUALLY COULD

CONTINUE TO PROVIDE THE SERVICES IN MEDICAL, EDUCATIONAL AND RESEARCH

PROGRAMS THAT ARE THE CORE OF EACH OF THEIR EXEMPT MISSIONS.

ATTACHMENT 1

SCHEDULE A, PART I - INFORMATION ABOUT SUPPORTED ORGANIZATIONS

(I) NAME OF SUPPORTED ORGANIZATION	(II) EIN	(III) TYPE OF ORGANIZATION	(IV) YES NO		(V) AMOUNT OF SUPPORT	(VI) OTHER SUPPORT AMOUNT
			YES	NO		
UPMC PRESBYTERIAN SHADYSIDE	25-0965480	3	X		42,803,722.	0.
UPMC BRADDOCK	25-1800797	3		X	71,082.	0.
UPMC ST. MARGARET	23-2875070	3		X	22,939,333.	0.
UPMC COMMUNITY PROVIDER SERVICES	25-1804746	10		X	0.	0.
UPMC PASSAVANT	25-0965451	3		X	0.	0.
UPMC BEDFORD	23-1396795	3		X	411,342.	0.
UPMC LEE	25-0613830	3		X	0.	0.
UPMC MCKEESPORT	25-0965423	3		X	0.	0.
UPMC HORIZON	25-0523970	3		X	18,969,512.	0.
UPMC MAGEE-WOMEN'S HOSPITAL	25-0965420	3		X	0.	0.
UPMC COMMUNITY MEDICINE INC.	25-1727721	3		X	0.	0.
UNIVERSITY OF PITTSBURGH PHYSICIANS	23-2919472	3		X	5,600,000.	0.
UNIVERSITY OF PITTSBURGH	25-0965591	2	X		241,400,000.	0.
UPMC CHILDREN'S HOSPITAL OF PITTSBURGH	25-0402510	3		X	0.	0.
UPMC NORTHWEST	25-0489010	3		X	7,160,938.	0.
COMMUNITY CARE BEHAVIORAL HEALTH ORGANIZATION	25-1799823	10		X	0.	0.
UPMC SENIOR COMMUNITIES, INC.	25-1574736	10		X	0.	0.
UPMC FOR YOU	90-0174238	10		X	0.	0.
UPMC MERCY	25-0965429	3		X	117,101,093.	0.

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

SCHEDULE A, PART I - INFORMATION ABOUT SUPPORTED ORGANIZATIONS						ATTACHMENT 1 (CONT'D)	
(I) NAME OF SUPPORTED ORGANIZATION	(II) EIN	(III) TYPE OF ORGANIZATION	(IV) YES NO	(V) AMOUNT OF SUPPORT	(VI) OTHER SUPPORT AMOUNT		
UPMC EAST	27-4814831	3	X	0.	0.		
UPMC HAMOT	25-0965387	3	X	19,205,953.	0.		
UPMC CENTER FOR HIGH-VALUE HEALTHCARE	45-2178782	7	X	0.	0.		
UPMC ALTOONA	23-1352155	3	X	0.	0.		
PITTSBURGH CARE PARTNERSHIP, INC.	25-1753852	10	X	0.	0.		
REGIONAL HEALTH SERVICES, INC.	25-1403958	10	X	0.	0.		
SAFE HARBOR BEHAVIORAL HEALTH OF UPMC HAMOT	25-1317492	10	X	0.	0.		
GREAT LAKES PHYSICIAN PRACTICE, P.C.	46-4186362	3	X	0.	0.		
UPMC ALTOONA PARTNERSHIP FOR A HEALTHY COMMUNITY	25-1842308	10	X	0.	0.		
UPMC EMERGENCY MEDICINE, INC.	25-1787601	10	X	0.	0.		
UNIVERSITY OF PITTSBURGH CANCER INSTITUTE CANCER SERVICES	25-1899326	3	X	0.	0.		
BUTLER HEALTH SYSTEM/UPMC MUSCULOSKELETAL JOINT VENTURE, INC	47-1869395	3	X	0.	0.		
ERIE PHYSICIANS NETWORK - UPMC, INC.	45-3012506	3	X	0.	0.		
SUGAR CREEK STATION	25-1472178	3	X	0.	0.		
CRANBERRY PLACE	04-3709885	10	X	0.	0.		
PITTSBURGH LIFETIME CARE COMMUNITY	25-1335247	10	X	0.	0.		
THE HERITAGE SHADYSIDE	02-0614185	10	X	0.	0.		
CANTERBURY PLACE	25-0965334	10	X	0.	0.		
SENECA PLACE	72-1562844	10	X	0.	0.		
UPMC HOME HEALTHCARE OF WESTERN PENNSYLVANIA	25-1222033	10	X	0.	0.		
HOME NURSING AGENCY AFFILIATES	25-1518698	10	X	0.	0.		
UPMC ADVANCED PRACTICE PROVIDERS	47-1301784	10	X	0.	0.		
UPMC HOME HEALTHCARE OF CENTRAL PENNSYLVANIA	25-1188570	10	X	0.	0.		

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

SCHEDULE A, PART I - INFORMATION ABOUT SUPPORTED ORGANIZATIONS						ATTACHMENT 1 (CONT'D)	
(I) NAME OF SUPPORTED ORGANIZATION	(II) EIN	(III) TYPE OF ORGANIZATION	(IV) YES NO	(V) AMOUNT OF SUPPORT	(VI) OTHER SUPPORT AMOUNT		
UPMC BEHAVIORAL HEALTH OF THE ALLEGHENIES	25-1517533	10	X	0.	0.		
HOME NURSING AGENCY FOUNDATION	25-1467014	7	X	0.	0.		
CENTER FOR EMERGENCY MEDICINE OF WESTERN PENNSYLVANIA	25-1443759	10	X	0.	0.		
UPMC JAMESON	25-0965406	3	X	11,812,266.	0.		
CHILDREN'S ADVOCACY CENTER OF LAWRENCE COUNTY	25-1581304	7	X	0.	0.		
UPMC/JAMESON CANCER CENTER	20-1459415	3	X	0.	0.		
JAMESON MEDICAL CARE, INC.	26-0462696	10	X	0.	0.		
JAMESON CARE CENTER, INC.	23-2871396	10	X	0.	0.		
MON YOUGH COMMUNITY SERVICES, INC.	25-1202461	10	X	0.	0.		
UPMC KANE	25-0998168	3	X	2,760,949.	0.		
UPMC MUNCY	24-0806023	3	X	0.	0.		
DIVINE PROVIDENCE HOSPITAL OF THE SISTERS OF CHRISTIAN CHARI	24-0799343	10	X	8,776,938.	0.		
SUSQUEHANNA PHYSICIAN SERVICES	23-2449454	3	X	0.	0.		
UPMC WILLIAMSPORT	24-0795508	3	X	19,078,573.	0.		
UPMC WELLSBORO	23-2176963	3	X	0.	0.		
THE GREEN HOME	24-0804365	10	X	0.	0.		
WILLIAMSPORT AREA AMBULANCE SERVICE COOPERATIVE	23-2416166	10	X	0.	0.		
UPMC LOCK HAVEN	82-1600494	3	X	15,964,559.	0.		
UPMC SUNBURY	82-1592230	3	X	578,089.	0.		
UPMC CHAUTAUQUA AT WCA	16-0743226	3	X	5,270,125.	0.		
STARFLIGHT, INC.	16-1557878	7	X	0.	0.		
SOUTH CENTRAL ALPHA HOUSING AND HEALTHCARE, INC.	25-1701701	10	X	0.	0.		
SOUTH WESTERN ALPHA HOUSING AND HEALTHCARE, INC.	25-1701700	10	X	0.	0.		

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

SCHEDULE A, PART I - INFORMATION ABOUT SUPPORTED ORGANIZATIONS						ATTACHMENT 1 (CONT'D)	
(I) NAME OF SUPPORTED ORGANIZATION	(II) EIN	(III) TYPE OF ORGANIZATION	(IV) YES NO	(V) AMOUNT OF SUPPORT	(VI) OTHER SUPPORT AMOUNT		
UPMC OB/GYN JOINT VENTURE INC.	81-5255736	3	X	0.	0.		
CHARLES COLE MEMORIAL HOSPITAL	24-0802108	3	X	0.	0.		
UPMC LANCASTER	82-0896436	3	X	5,036,585.	0.		
UPMC LITITZ	82-0844453	3	X	100,905,716.	0.		
UPMC MEMORIAL	82-0912090	3	X	150,805,827.	0.		
PINNACLE HEALTH REGIONAL PHYSICIANS	82-0947698	3	X	0.	0.		
COMMUNITY LIFE TEAM	23-1890444	7	X	0.	0.		
UPMC PINNACLE HOSPITALS	25-1778644	3	X	784,458,575.	0.		
PINNACLE HEALTH MEDICAL SERVICES	25-1709054	3	X	0.	0.		
UPMC CARLISLE	82-0880337	3	X	133,377,908.	0.		
ASBURY HEALTH CENTER	25-0969472	10	X	0.	0.		
ASBURY VILLAS	25-1819952	10	X	0.	0.		
ASBURY PLACE	25-1729266	10	X	0.	0.		
ASBURY FOUNDATION	25-1555688	7	X	0.	0.		
UPMC HOME CARE MANAGEMENT SERVICES	83-0857507	10	X	0.	0.		
UPMC HANOVER	23-1360851	3	X	173,121,102.	0.		
UPMC SOMERSET	25-0965570	3	X	0.	0.		
TWIN LAKES CENTER, INC.	23-2910318	3	X	0.	0.		
SOMERSET HEALTH SERVICES, INC.	25-1441920	3	X	0.	0.		
AUUE, INC	82-0946389	3	X	0.	0.		
UPMC LOCUM CLINICIANS	83-2683509	3	X	0.	0.		
UPMC HORIZON COMMUNITY HEALTH FOUNDATION	25-1501823	7	X	0.	0.		
UPMC ALTOONA FOUNDATION	55-0787040	7	X	0.	0.		

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

SCHEDULE A, PART I - INFORMATION ABOUT SUPPORTED ORGANIZATIONS						ATTACHMENT 1 (CONT'D)	
(I) NAME OF SUPPORTED ORGANIZATION	(II) EIN	(III) TYPE OF ORGANIZATION	(IV)		(V) AMOUNT OF SUPPORT	(VI) OTHER	
			YES	NO		SUPPORT	AMOUNT
W.C.A. GROUP, INC.	22-2393582	10		X	0.		0.
UPMC WESTERN MARYLAND CORPORATION	52-0591531	3		X	90,000,000.		0.
SUSQUEHANNA HEALTH FOUNDATION	23-2743470	7		X	0.		0.
WESLEY HILLS	25-1507472	10		X	0.		0.
TIOGA HEALTH CARE PROVIDERS	25-1765538	3		X	0.		0.
TOTAL AMOUNT OF SUPPORT					1,977,610,187.		0.

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization

UPMC

Supplemental Financial Statements

▶ Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public
Inspection

Employer identification number

25-1423657

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year) . .		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		☐ Yes ☐ No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		☐ Yes ☐ No

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).	
☐ Preservation of land for public use (for example, recreation or education)	☐ Preservation of a historically important land area
☐ Protection of natural habitat	☐ Preservation of a certified historic structure
☐ Preservation of open space	
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.	
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d
3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶	
4 Number of states where property subject to conservation easement is located ▶	
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?	☐ Yes ☐ No
6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶	
7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$	
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?	☐ Yes ☐ No
9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.	

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII the text of the footnote to its financial statements that describes these items.	
b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:	
(i) Revenue included on Form 990, Part VIII, line 1.	▶ \$
(ii) Assets included in Form 990, Part X.	▶ \$
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:	
a Revenue included on Form 990, Part VIII, line 1.	▶ \$
b Assets included in Form 990, Part X.	▶ \$

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2020

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply):

- ☐ **a** Public exhibition
☐ **b** Scholarly research
☐ **c** Preservation for future generations
☐ **d** Loan or exchange program
☐ **e** Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ **Yes** ☐ **No**

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
1c Beginning balance	
1d Additions during the year	
1e Distributions during the year	
1f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a** Board designated or quasi-endowment ▶ _____ %
b Permanent endowment ▶ _____ %
c Term endowment ▶ _____ %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** Unrelated organizations
(ii) Related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		71,226,029.		71,226,029.
b Buildings		370,556,956.	298,671,190.	71,885,766.
c Leasehold improvements		41,853,264.	36,958,839.	4,894,425.
d Equipment		77,107,319.	51,534,694.	25,572,625.
e Other		152,379,546.	77,831,162.	74,548,383.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				248,127,228.

Schedule D (Form 990) 2020

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely held equity interests		
(3) Other		
(A) CASH EQUIVALENTS	190,089,481.	COST
(B) LIMITED PARTNERSHIPS	1,507,719,641.	COST
(C) EVOLVENT HEALTH, INC.	135,892,058.	FMV
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) . ▶	1,833,701,180.	

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) . ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) INVESTMENTS IN SUBSIDIARIES	2,624,228,448.
(2) 2020 PROJECT FUND	1,619,650,964.
(3) RIGHT OF USE ASSET - OPERATING	760,010,288.
(4) SECURITIES LENDING	338,843,284.
(5) DUE FROM RESTRICTED FUNDS	50,952,434.
(6) INVESTMENTS IN JOINT VENTURES	49,413,131.
(7) BOND DEBT SERVICE FUNDS	1,309,999.
(8) OTHER ASSETS	2,107,000.
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	5,446,515,548.

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes ATTACHMENT 1	
(2) DUE TO SUBSIDIARIES	2,628,465,185.
(3) OPERATING LEASES SHORTTERM/LON	776,549,144.
(4) BONDS/OID/OIP/REBATE	260,190,490.
(5) OTHER MISC LIABILITIES	215,420,475.
(6) OTHER LONG-TERM LIABILITIES	227,801,838.
(7) SECURITIES LENDING	338,843,284.
(8) CAPITAL LEASES	23,108,577.
(9) ASSET RETIREMENT OBLIGATIONS	10,060,967.
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	4,698,990,002.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII . ☐

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)		5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)		5	

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

Part XIII Supplemental Information (continued)

PART X AND PART XI

UPMC HAS NO UNCERTAIN TAX POSITIONS RECORDED. TAX BENEFITS ARE RECOGNIZED WHEN IT IS MORE LIKELY THAN NOT THAT A TAX POSITION WILL BE SUSTAINED UPON EXAMINATION BY THE TAX AUTHORITIES BASED ON THE TECHNICAL MERITS OF THE POSITION. SUCH TAX POSITIONS ARE MEASURED AS THE LARGEST AMOUNT OF TAX BENEFIT THAT IS GREATER THAN 50% LIKELY TO BE REALIZED UPON ULTIMATE SETTLEMENT WITH THE TAX AUTHORITIES ASSUMING FULL KNOWLEDGE OF THE POSITION AND ALL RELEVANT FACTS. AS OF JUNE 30, 2021, UPMC DOES NOT HAVE ANY UNRECORDED TAX BENEFITS. AN EXTERNAL AUDIT IS COMPLETED AT A CONSOLIDATED UPMC SYSTEM LEVEL ONLY, INCLUDING UPMC AND ALL TAXABLE AND TAX-EXEMPT SUBSIDIARIES.

ATTACHMENT 1SCHEDULE D, PART X - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BOOK VALUE</u>
PENSION MINIMUM LIABILITY	213,441,910.
HEDGE/NONHEDGE SWAP FMV ADJ	5,108,132.
TOTALS	<u>4,698,990,002.</u>

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

UPMC

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

**Open to Public
Inspection**

Employer identification number

25-1423657

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☐ No

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) CENTRAL AMERICA/CARIBBEAN	0.	0.	INVESTMENTS		144,821,620.
(2) EAST ASIA AND THE PACIFIC	0.	0.	INVESTMENTS		1,038,812,282.
(3) EUROPE	0.	0.	INVESTMENTS		425,369,684.
(4) MIDDLE EAST AND NORTH AFRICA	0.	0.	INVESTMENTS		15,183,592.
(5) NORTH AMERICA	0.	0.	INVESTMENTS		86,923,187.
(6) RUSSIA/INDEPENDENT STATES	0.	0.	INVESTMENTS		37,214,718.
(7) SOUTH AMERICA	0.	0.	INVESTMENTS		86,941,899.
(8) SOUTH ASIA	0.	0.	INVESTMENTS		197,302,274.
(9) SUB-SAHARAN AFRICA	0.	0.	INVESTMENTS		45,764,001.
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Subtotal					2,078,333,257.
b Total from continuation sheets to Part I					
c Totals (add lines 3a and 3b)					2,078,333,257.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2020

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EUROPE/ICELAND/GREENLAND	LIVER RESEAR	500,000.	WIRE		NONE	COST
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as a tax exempt 501(c)(3) organization by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . ▶

3 Enter total number of other organizations or entities 1 .

Schedule F (Form 990) 2020

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926) ☒ Yes ☐ No
- 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990) ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471) ☒ Yes ☐ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621) ☒ Yes ☐ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865) ☒ Yes ☐ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990) ☒ Yes ☐ No

Schedule F (Form 990) 2020

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE F STATEMENT OF ACTIVITIES OUTSIDE THE UNITED STATES

UPMC'S INVESTMENT PORTFOLIO INCLUDES FOREIGN SECURITIES AND SIMILAR

ASSETS THAT THE IRS REQUIRES TO BE REPORTED ON SCHEDULE F.

UPMC MONITORS THE USE OF GRANT MONEY PROVIDED TO ITS FOREIGN AFFILIATE

FOR LIVER TRANSPLANT RESEARCH BY CONTINUAL INTERACTION BETWEEN CLINICIANS

IN THE TRANSPLANT AREA SHARING INFORMATION AND DATA ON THEIR RESEARCH

RESULTS.

**SCHEDULE K
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

UPMC

SET ONE

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public
Inspection

Employer identification number

25-1423657

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
							Yes	No	Yes	No	Yes	No
A	ALLEGHENY COUNTY HOSPITAL DEVELOPMENT AUTHORITY	25-1327925	01728AH25	05/23/2007	225,000,000.	SERIES 2C07A		X		X		X
B	ALLEGHENY COUNTY HOSPITAL DEVELOPMENT AUTHORITY	25-1327925	01728A2M7	11/23/2011	107,026,893.	SERIES 2C11A		X		X		X
C	MONROEVILLE FINANCE AUTHORITY	46-0569399	611530BC9	07/31/2012	389,110,690.	SERIES 2C12A		X		X		X
D	PA ECONOMIC DEVELOPMENT FINANCING AUTHORITY	38-3849352	70869PGR2	10/08/2013	201,328,423.	SERIES 2C13AB		X		X		X

Part II Proceeds

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1	Amount of bonds retired	178,905,000.	34,355,000.		76,610,000.		35,115,000.	
2	Amount of bonds legally defeased							
3	Total proceeds of issue	225,008,043.	107,026,893.		389,113,883.		201,398,207.	
4	Gross proceeds in reserve funds							
5	Capitalized interest from proceeds							
6	Proceeds in refunding escrows							
7	Issuance costs from proceeds	1,938,921.	1,026,893.		3,405,559.		2,444,847.	
8	Credit enhancement from proceeds							
9	Working capital expenditures from proceeds							
10	Capital expenditures from proceeds	53,339,385.			204,010,889.		126,074,288.	
11	Other spent proceeds	169,729,736.	106,000,000.		181,697,435.		72,879,072.	
12	Other unspent proceeds							
13	Year of substantial completion	2007	2011	2012	2013			
14	Were the bonds issued as part of a refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?	X		X			X	
15	Were the bonds issued as part of a refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?		X		X		X	
16	Has the final allocation of proceeds been made?	X		X			X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X			X	

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Schedule K (Form 990) 2020

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**SCHEDULE K
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

UPMC

SET TWO

Supplemental Information on Tax-Exempt Bonds

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

▶ Attach to Form 990.

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OMB No. 1545-0047

2020

Open to Public
Inspection

Employer identification number

25-1423657

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
							Yes	No	Yes	No	Yes	No
A PA ECONOMIC DEVELOPMENT FINANCING AUTHORITY		38-3849352	70869PHR1	10/01/2014	390,888,214.	SERIES 2014AB		X		X		X
B PA ECONOMIC DEVELOPMENT FINANCING AUTHORITY		38-3849352	70869PKX4	10/14/2015	131,646,741.	SERIES 2015B		X		X		X
C PA ECONOMIC DEVELOPMENT FINANCING AUTHORITY		38-3849352	70869PLT2	09/28/2016	273,626,626.	SERIES 2016		X		X		X
D PA ECONOMIC DEVELOPMENT FINANCING AUTHORITY		38-3849352	70870QJBA	11/11/2017	469,617,728.	SERIES 2017A		X		X		X

Part II Proceeds

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Amount of bonds retired	55,290,000.		12,845,000.		28,995,000.		26,650,000.	
2 Amount of bonds legally defeased								
3 Total proceeds of issue	390,888,561.		131,656,546.		273,945,038.		471,979,220.	
4 Gross proceeds in reserve funds								
5 Capitalized interest from proceeds								
6 Proceeds in refunding escrows								
7 Issuance costs from proceeds	4,029,845.		1,630,226.		2,824,522.		4,037,851.	
8 Credit enhancement from proceeds								
9 Working capital expenditures from proceeds								
10 Capital expenditures from proceeds	150,003,716.		130,026,319.		250,318,402.		402,373,389.	
11 Other spent proceeds	236,855,000.				20,802,115.		65,567,981.	
12 Other unspent proceeds								
13 Year of substantial completion	2015		2016		2017		2018	
14 Were the bonds issued as part of a refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?	X			X		X		
15 Were the bonds issued as part of a refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?		X		X		X		X
16 Has the final allocation of proceeds been made?	X		X		X		X	
17 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

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**SCHEDULE K
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

UPMC

SET THREE

Supplemental Information on Tax-Exempt Bonds

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

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OMB No. 1545-0047

2020

Open to Public
Inspection

Employer identification number

25-1423657

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
							Yes	No	Yes	No	Yes	No
A	PA ECONOMIC DEVELOPMENT FINANCING AUTHORITY	38-3849352	70870JBM9	03/01/2019	135,000,000.	SERIES 2017C		X		X		X
B	ALLEGHENY COUNTY HOSPITAL DEVELOPMENT AUTHORITY	25-1327925	01728A3B0	12/14/2017	500,000,000.	SERIES 2017D		X		X		X
C	POTTER COUNTY HOSPITAL AUTHORITY	25-6691439		03/01/2018	25,580,000.	SERIES 2018A NOTE		X		X		X
D	ALLEGHENY COUNTY HOSPITAL DEVELOPMENT AUTHORITY	25-1327925	01728A5C6	05/30/2019	842,635,848.	SERIES 2019A		X		X		X

Part II Proceeds

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Amount of bonds retired			100,000,000.		10,232,000.		18,320,000.	
2	Amount of bonds legally defeased								
3	Total proceeds of issue	135,000,000.		516,346,463.		25,580,000.		844,469,635.	
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrows								
7	Issuance costs from proceeds			376,550.		43,101.		5,224,084.	
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds			515,969,913.					
11	Other spent proceeds	135,000,000.				25,536,899.		839,245,404.	
12	Other unspent proceeds							146.	
13	Year of substantial completion	2019		2020		2018		2019	
14	Were the bonds issued as part of a refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?		X		X				
15	Were the bonds issued as part of a refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?	X			X		X		X
16	Has the final allocation of proceeds been made?		X		X		X		X
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

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**SCHEDULE K
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

UPMC

SET FOUR

Supplemental Information on Tax-Exempt Bonds

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

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OMB No. 1545-0047

2020

Open to Public
Inspection

Employer identification number

25-1423657

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
							Yes	No	Yes	No	Yes	No
A PA ECONOMIC DEVELOPMENT FINANCING AUTHORITY		38-3849352	70870JCL0	04/29/2020	250,885,823.	SERIES 2020A (NEW MONEY)		X		X		X
B PA ECONOMIC DEVELOPMENT FINANCING AUTHORITY		38-3849352	70870JCL0	04/29/2020	30,208,557.	SERIES 2020A (REFUNDING)		X		X		X
C MARYLAND HEALTH AND HIGHER EDUCATIONAL FACILITIES		52-0936091	5742187N7	04/29/2020	207,358,648.	SERIES 2020B		X		X		X
D PA ECONOMIC DEVELOPMENT FINANCING AUTHORITY		38-3849352	70870JCL0	04/15/2021	264,025,370.	SERIES 2021AB		X		X		X

Part II Proceeds

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Amount of bonds retired		3,890,000.				2,915,000.		
2 Amount of bonds legally defeased								
3 Total proceeds of issue		250,885,823.		30,208,704.		207,358,656.		264,026,833.
4 Gross proceeds in reserve funds								
5 Capitalized interest from proceeds								
6 Proceeds in refunding escrows								
7 Issuance costs from proceeds		1,928,206.		208,026.		1,613,796.		2,214,918.
8 Credit enhancement from proceeds								
9 Working capital expenditures from proceeds								
10 Capital expenditures from proceeds		248,956,591.						160,067,316.
11 Other spent proceeds		1,025.		30,000,677.		205,744,861.		101,744,599.
12 Other unspent proceeds								
13 Year of substantial completion	2020		2020		2020		2021	
14 Were the bonds issued as part of a refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?		X	X			X		X
15 Were the bonds issued as part of a refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?		X		X	X		X	
16 Has the final allocation of proceeds been made?		X		X	X		X	
17 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

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**SCHEDULE K
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

UPMC

SET FIVE

Supplemental Information on Tax-Exempt Bonds

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

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OMB No. 1545-0047

2020

Open to Public
Inspection

Employer identification number

25-1423657

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
							Yes	No	Yes	No	Yes	No
A	TIOGA COUNTY INDUSTRIAL DEVELOPMENT AUTHORITY	25-1433720		05/07/2010	10,000,000.	LAUREL 2010 NOTE		X		X		X
B	TIOGA COUNTY INDUSTRIAL DEVELOPMENT AUTHORITY	25-1433720		12/30/2011	8,771,468.	LAUREL 2011 NOTE		X		X		X
C	DAUPHIN COUNTY GENERAL AUTHORITY	23-2336946	23825EDB7	08/07/2012	135,249,981.	PINNACLE SERIES 2012A		X		X		X
D	DAUPHIN COUNTY GENERAL AUTHORITY	23-2336946	23825EDY7	06/22/2016	119,014,518.	PINNACLE SERIES 2016A		X		X		X

Part II Proceeds

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Amount of bonds retired			3,665,441.	4,092,981.			16,575,000.	
2	Amount of bonds legally defeased								
3	Total proceeds of issue			10,000,000.	8,771,468.			135,283,415.	122,321,927.
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds							10,851,923.	
6	Proceeds in refunding escrows								
7	Issuance costs from proceeds			95,332.	106,790.			1,500,781.	1,103,602.
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds			9,904,668.	8,664,679.			122,930,711.	
11	Other spent proceeds								121,218,325.
12	Other unspent proceeds								
13	Year of substantial completion	2010		2013		2014		2016	
14	Were the bonds issued as part of a refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?		X		X				X
15	Were the bonds issued as part of a refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?		X		X			X	
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

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Schedule K (Form 990) 2020

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**SCHEDULE K
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

UPMC

SET SIX

Supplemental Information on Tax-Exempt Bonds

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OMB No. 1545-0047

2020

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Employer identification number

25-1423657

Part I Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
						Yes	No	Yes	No	Yes	No
A DAUPHIN COUNTY GENERAL AUTHORITY	23-2336946		06/01/2021	82,950,000.	PINNACLE SERIES 2016B (REISSUANCE)		X		X		X
B SOMERSET COUNTY HOSPITAL AUTHORITY	25-6001040		04/27/2009	3,590,000.	SOMERSET 2009 NOTE		X		X		X
C SOMERSET COUNTY HOSPITAL AUTHORITY	25-6001040		12/15/2015	18,000,000.	SOMERSET SERIES 2015A		X		X		X
D											

Part II Proceeds

	A				B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1 Amount of bonds retired					2,746,935.		2,000,000.			
2 Amount of bonds legally defeased										
3 Total proceeds of issue	82,950,000.				3,590,000.		18,004,845.			
4 Gross proceeds in reserve funds										
5 Capitalized interest from proceeds										
6 Proceeds in refunding escrows										
7 Issuance costs from proceeds					66,781.		180,151.			
8 Credit enhancement from proceeds										
9 Working capital expenditures from proceeds										
10 Capital expenditures from proceeds							3,727,256.			
11 Other spent proceeds	82,950,000.		3,523,219.				14,097,439.			
12 Other unspent proceeds										
13 Year of substantial completion	2021		2009				2017			
14 Were the bonds issued as part of a refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?	X		X							
15 Were the bonds issued as part of a refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?		X			X		X			
16 Has the final allocation of proceeds been made?	X		X		X		X			
17 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X			

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Part III Private Business Use

SET ONE

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2 Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c Are there any research agreements that may result in private business use of bond-financed property?		X		X		X		X
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		.2000 %		.1000 %		.2000 %		1.8000 %
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		.2000 %		.1000 %		.2000 %		1.8000 %
6 Total of lines 4 and 5								
7 Does the bond issue meet the private security or payment test?		X		X		X		X
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?	X		X		X		X	
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of		2.4000 %		4.3000 %		.1000 %		
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?	X		X		X			
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?		X		X		X		X
b Exception to rebate?	X		X		X		X	
c No rebate due?		X		X		X		X
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?	X			X		X		X

Schedule K (Form 990) 2020

SET TWO

Part III Private Business Use

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2 Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c Are there any research agreements that may result in private business use of bond-financed property?		X		X		X		X
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		.8000 %		2.3000 %		.3000 %		.8000 %
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		.8000 %		2.3000 %		.3000 %		.8000 %
6 Total of lines 4 and 5		X		X		X		X
7 Does the bond issue meet the private security or payment test?								
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?	X		X		X		X	
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of		2.8000 %		.0038 %		.0031 %		
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?		X		X		X		X
b Exception to rebate?	X		X		X		X	
c No rebate due?		X		X		X		X
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?		X		X		X		X

Schedule K (Form 990) 2020

Part III Private Business Use**SET THREE**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2 Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	
3a Are there any management or service contracts that may result in private business use of bond-financed property?		X	X			X		
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?			X				X	
c Are there any research agreements that may result in private business use of bond-financed property?		X		X		X		X
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		.0100 %		1.4000 %		1.2000 %		.5000 %
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		.0100 %		1.4000 %		1.2000 %		.5000 %
6 Total of lines 4 and 5								
7 Does the bond issue meet the private security or payment test?		X		X		X		X
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?	X			X		X		X
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of		2.2000 %						.7000 %
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?	X						X	
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?	X		X		X		X	
b Exception to rebate?		X		X		X		X
c No rebate due?		X		X		X		X
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?	X		X		X		X	

Schedule K (Form 990) 2020

SET FOUR

Part III Private Business Use

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2 Are there any lease arrangements that may result in private business use of bond-financed property?	X		X			X	X	
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c Are there any research agreements that may result in private business use of bond-financed property?		X		X	X			X
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?					X			
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		.4000 %		.1000 %		%		.3000 %
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		.4000 %		.1000 %		%		.3000 %
6 Total of lines 4 and 5								
7 Does the bond issue meet the private security or payment test?		X		X		X		X
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of		%		.1000 %		.0083 %		%
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?			X		X			
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?	X			X	X		X	
b Exception to rebate?		X	X			X		X
c No rebate due?		X		X		X		X
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?		X		X		X		X

Schedule K (Form 990) 2020

Part III Private Business Use**SET FIVE**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2 Are there any lease arrangements that may result in private business use of bond-financed property?		X		X	X		X	
3a Are there any management or service contracts that may result in private business use of bond-financed property?		X		X	X		X	
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?					X		X	
c Are there any research agreements that may result in private business use of bond-financed property?		X		X		X		X
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		%		%		.0200 %		3.1000 %
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		%		%		.0200 %		3.1000 %
6 Total of lines 4 and 5		%		%		.0200 %		3.1000 %
7 Does the bond issue meet the private security or payment test?		X		X		X		X
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of		%		%		%		%
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?		X		X		X		X
b Exception to rebate?	X		X			X		X
c No rebate due?		X		X		X		X
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?	X		X			X		X

Schedule K (Form 990) 2020

Part III Private Business Use**SET SIX**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		
2 Are there any lease arrangements that may result in private business use of bond-financed property?	X			X		X		
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X			X		X		
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X							
c Are there any research agreements that may result in private business use of bond-financed property?		X		X		X		
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		.0400 %		%		%		%
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		.0400 %		%		%		%
6 Total of lines 4 and 5		X		X		X		
7 Does the bond issue meet the private security or payment test?								
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of		%		%		%		%
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X			

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?		X		X		X		
b Exception to rebate?	X		X			X		
c No rebate due?		X		X		X		
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?	X		X		X			

Schedule K (Form 990) 2020

Part IV Arbitrage (continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?	X							X
b	Name of provider	SEE PART VI 4B, C, D, E							
c	Term of hedge.								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation isn't available under applicable regulations?	X		X		X		X	

Part VI **Supplemental Information.** Provide additional information for responses to questions on Schedule K. See instructions.

[illegible]

Part IV Arbitrage (continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b Name of provider								
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?		X		X		X		X
6 Were any gross proceeds invested beyond an available temporary period?								
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation isn't available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. See instructions.

Part IV Arbitrage (continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b Name of provider								
c Term of hedge.								
d Was the hedge superintegrated?								
e Was the hedge terminated?								
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation isn't available under applicable regulations?	X		X				X	

Part VI **Supplemental Information.** Provide additional information for responses to questions on Schedule K. See instructions.

[illegible]

Part IV Arbitrage (continued)

4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?	A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
b	Name of provider		X		X		X		X
c	Term of hedge.								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation isn't available under applicable regulations?	A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
		X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. See instructions.

Part IV Arbitrage (continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b Name of provider								
c Term of hedge.								
d Was the hedge superintegrated?								
e Was the hedge terminated?								
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation isn't available under applicable regulations?	X		X				X	

Part VI **Supplemental Information.** Provide additional information for responses to questions on Schedule K. See instructions.

[illegible]

Part IV Arbitrage (continued)

4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?	Yes	No	Yes	No	Yes	No
b	Name of provider		X		X		
c	Term of hedge					6,000	
d	Was the hedge superintegrated?					X	
e	Was the hedge terminated?					X	
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		
b	Name of provider						
c	Term of GIC						
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?						
6	Were any gross proceeds invested beyond an available temporary period?		X		X		
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X	

Part V Procedures To Undertake Corrective Action

	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation isn't available under applicable regulations?	Yes	No	Yes	No	Yes	No
		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. See instructions.

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

SERIES 2007A

REFUNDED ACHDA SERIES 1997A BONDS ISSUED 4/17/1997; PARTLY REFUNDED ACHDA SERIES 1997B BONDS ISSUED 11/3/1997; FINANCING THE COSTS OF ACQUIRING, CONSTRUCTING, AND EQUIPPING CERTAIN RENOVATIONS, IMPROVEMENT AND OTHER CAPITAL EXPENDITURES OF THE CORPORATION.

\$8,042.50 WAS EARNED IN THE CLEARING FUND AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS. SUBSERIES 2007A1 HAS TWO QUALIFIED HEDGES WITH GOLDMAN SACHS MITSUI MARINE DERIVATIVE PRODUCTS. THE FIRST SUBSERIES 2007A1 QUALIFIED HEDGE HAS A NOTIONAL AMOUNT OF \$53,905,000 AND TERMINATED ON FEBRUARY 1, 2021, ITS SCHEDULED MATURITY DATE. THE SECOND SUBSERIES 2007A1 QUALIFIED HEDGE HAS A NOTIONAL AMOUNT OF \$46,095,000, TERMINATES IN 29.7 YEARS FROM MAY 23, 2007, IS NOT SUPERINTEGRATED, AND HASN'T BEEN TERMINATED PRIOR TO ITS SCHEDULED TERMINATION DATE. SUBSERIES 2007A2 HAD A QUALIFIED HEDGE WITH MERRILL LYNCH CAPITAL SERVICES INC WITH A NOTIONAL AMOUNT OF \$75,000,000, TERMINATES IN 3.7 YEARS FROM MAY 23, 2007, IS SUPERINTEGRATED, AND WAS TERMINATED ON MARCH 24, 2010 WHICH WAS PRIOR TO ITS SCHEDULED TERMINATION DATE.

SERIES 2011A

Part VI **Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

REPAY A DRAW ON A LINE OF CREDIT FACILITY IN THE AMOUNT OF \$106,000,000 WHICH UPMC MADE TO PAY A PORTION OF THE PRINCIPAL OF THE ACHDA SERIES 2008A BONDS WHICH MATURED ON SEPTEMBER 1, 2011 AND PAY ALL OR A PORTION OF THE COSTS OF ISSUING THE 2011A BONDS.

SERIES 2012A

CURRENT REFUNDING OF FOUR SERIES OF OUTSTANDING BONDS, CONSISTING OF (I) PHEFA SERIES 1999A ISSUED 3/4/1999, (II) ACHDA UPMC SENIOR COMMUNITIES, INC. SERIES 2003 ISSUED 7/1/2003, (III) ACIDA SERIES 2004A ISSUED 3/25/2004, AND (IV) ERIE COUNTY HOSPITAL AUTHORITY HAMOT HEALTH FOUNDATION SERIES 2008 ISSUED 7/1/2008; PAY THE COSTS OF THE CONSTRUCTION, ACQUISITION AND INSTALLATION OF VARIOUS CAPITAL IMPROVEMENTS TO BE LOCATED AT THE HEALTHCARE AND RELATED FACILITIES OR PORTIONS THEREOF OWNED AND OR OPERATED BY UPMC IN THE CITY OF PITTSBURGH AND THE MUNICIPALITY OF MONROEVILLE; AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE 2012 BONDS.

\$3,193.27 WAS EARNED IN THE CONSTRUCTION FUND DURING CONSTRUCTION AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS.

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

SERIES 2013AB

PARTLY CURRENT REFUNDED BLAIR COUNTY HOSPITAL AUTHORITY SERIES 1998A BONDS ISSUED 8/12/1998; ADVANCE REFUNDED THE BLAIR COUNTY HOSPITAL AUTHORITY SERIES 2009 BONDS ISSUED 12/3/2009; FINANCING THE COSTS OF THE CONSTRUCTION, ACQUISITION AND INSTALLATION OF VARIOUS CAPITAL IMPROVEMENTS RELATING TO THE FACILITIES OF THE CORPORATION, ITS SUBSIDIARY HOSPITALS, AND OTHER AFFILIATES DEVOTED TO THEIR TAX-EXEMPT PURPOSES.

\$69,784.51 WAS EARNED ON THE BCHA 2009 ESCROW FUND AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS. REBATE COMPUTATION PERFORMED ON SEPTEMBER 14, 2018.

SERIES 2014AB

PARTLY CURRENT REFUNDED ALLEGHENY COUNTY HOSPITAL DEVELOPMENT AUTHORITY SERIES 2008A BONDS ISSUED 3/27/2008; PARTLY CURRENT REFUNDED THE ALLEGHENY COUNTY HOSPITAL DEVELOPMENT AUTHORITY SERIES 2008B BONDS ISSUED 6/19/2008; PARTLY CURRENT REFUNDED THE ALLEGHENY COUNTY HOSPITAL DEVELOPMENT AUTHORITY SERIES 2009A BONDS ISSUED 6/3/2009; FINANCING THE COSTS OF THE CONSTRUCTION, ACQUISITION AND INSTALLATION OF VARIOUS CAPITAL IMPROVEMENTS TO BE LOCATED AT THE HEALTHCARE AND RELATED

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

FACILITIES OR PORTIONS THEREOF OWNED AND/OR BY UPMC OR ITS SUBSIDIARY IN THE COMMONWEALTH OF PENNSYLVANIA AND; PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE BONDS.

\$347.19 WAS EARNED IN THE CONSTRUCTION FUND DURING CONSTRUCTION AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS.

SERIES 2015B

PAY THE COSTS OF THE CONSTRUCTION, ACQUISITION AND INSTALLATION OF VARIOUS CAPITAL IMPROVEMENTS TO BE LOCATED AT THE HEALTHCARE AND RELATED FACILITIES OR PORTIONS THEREOF OWNED AND/OR OPERATED BY UPMC OR ITS SUBSIDIARIES IN THE COMMONWEALTH OF PENNSYLVANIA AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE SERIES 2015B BONDS.

\$9,804.89 WAS EARNED IN THE CONSTRUCTION FUND DURING CONSTRUCTION AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS.

SERIES 2016

PAY THE COSTS OF THE CONSTRUCTION, ACQUISITION AND INSTALLATION OF VARIOUS CAPITAL IMPROVEMENTS TO BE LOCATED AT THE HEALTHCARE AND RELATED FACILITIES OR PORTIONS THEREOF OWNED AND/OR OPERATED BY UPMC OR ITS

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

SUBSIDIARIES IN THE COMMONWEALTH OF PENNSYLVANIA; REPAY A TAXABLE LINE OF CREDIT DRAWN UPON BY UPMC AND USED TO CURRENT REFUND THE ERIE COUNTY HOSPITAL AUTHORITY SERIES 2006 BONDS ISSUED 4/11/2006; AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE SERIES 2016 BONDS.

\$318,412.62 WAS EARNED IN THE CONSTRUCTION FUND DURING CONSTRUCTION AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS.

SERIES 2017A

PAY THE COSTS OF THE CONSTRUCTION, ACQUISITION AND INSTALLATION OF VARIOUS CAPITAL IMPROVEMENTS TO BE LOCATED AT THE HEALTHCARE AND RELATED FACILITIES OR PORTIONS THEREOF OWNED AND/OR OPERATED BY UPMC OR ITS SUBSIDIARIES IN THE COMMONWEALTH OF PENNSYLVANIA; CURRENT REFUND THE ERIE COUNTY HOSPITAL AUTHORITY SERIES 2007 BONDS ISSUED 7/31/2007; REPAY A TAXABLE LINE OF CREDIT DRAWN UPON BY UPMC AND USED TO CURRENT REFUND THE CHARTIERS VALLEY INDUSTRIAL COMMERCIAL DEVELOPMENT AUTHORITY SERIES 2006 BONDS ISSUED 9/11/2006; REPAY A TAXABLE LINE OF CREDIT DRAWN UPON BY UPMC AND USED TO CURRENT REFUND THE ALLEGHENY COUNTY INDUSTRIAL DEVELOPMENT AUTHORITY SERIES 2012ABCD BONDS ISSUED 12/19/2012; AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE SERIES 2017A BONDS.

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

\$2,361,492.39 WAS EARNED IN THE CONSTRUCTION FUND DURING CONSTRUCTION AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS.

SERIES 2017C

REFUNDED A TAXABLE BRIDGE LOAN FROM WELLS FARGO BANK NA DATED 11/1/2017.

SERIES 2017D

PAY THE COSTS OF THE CONSTRUCTION, ACQUISITION AND INSTALLATION OF VARIOUS CAPITAL IMPROVEMENTS TO BE LOCATED AT THE HEALTHCARE AND RELATED FACILITIES OR PORTIONS THEREOF OWNED AND/OR OPERATED BY UPMC OR ITS SUBSIDIARIES IN THE COMMONWEALTH OF PENNSYLVANIA AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE SERIES 2017D BONDS.

\$16,346,463.18 WAS EARNED IN THE CONSTRUCTION FUND DURING CONSTRUCTION AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS.

SERIES 2018A NOTE

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

PAY THE COSTS OF CURRENTLY REFUNDING OUTSTANDING POTTER COUNTY HOSPITAL AUTHORITY REVENUE REFUNDING NOTE, SERIES 2013A ISSUED 12/19/2013, POTTER COUNTY HOSPITAL AUTHORITY EQUIPMENT NOTE, SERIES 2013B ISSUED 12/19/2013, POTTER COUNTY HOSPITAL AUTHORITY REVENUE NOTE, SERIES 2014A ISSUED 6/25/2014, AND PAY THE COSTS OF ISSUANCE OF THE SERIES 2018A NOTE.

SERIES 2019A

REFUNDED ACHDA SUBSERIES 2007 B-2 BONDS REISSUED 3/24/2010; ACHDA SERIES 2008 NOTE REISSUED 3/24/2010; ACHDA SERIES 2009A BONDS ISSUED 6/3/2009; LYCOMING COUNTY AUTHORITY SERIES 2009A BONDS ISSUED 10/22/2009; DAUPHIN COUNTY GENERAL AUTHORITY SERIES 2009A BONDS ISSUED 6/24/2009; ACHDA SERIES 2010D BONDS ISSUED 3/24/2010; MONROEVILLE FINANCE AUTHORITY SERIES 2015A NOTE ISSUED 6/25/2015; AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE SERIES 2019A BONDS.

THE 8038, AS FILED, HAS AN ISSUE PRICE OF \$874,892,435.50. THE CORRECT ISSUE PRICE AS REPORTED IN PART I, COLUMN (E) IS \$842,635,847.85 WHICH TOTALS THE PAR AMOUNT OF THE BONDS PLUS ORIGINAL ISSUE PREMIUM. \$413.49 WAS EARNED IN THE UPMC 2019A BOND FUND. \$1,539,489.97 WAS EARNED IN THE

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

UPMC 2009A ESCROW FUND. \$9,831.31 WAS EARNED IN THE DCGA 2009A BOND FUND. \$238,484.50 WAS EARNED IN THE SUSQUEHANNA 2009A ESCROW FUND. \$4,844.22 WAS EARNED IN THE UPMC 2008 NOTE FUND. \$3,983.86 WAS EARNED IN THE 2007B2 BOND FUND. \$36,739.30 WAS EARNED IN THE 2010D BOND FUND. ALL INVESTMENT EARNINGS WERE SPENT ACCORDING TO THE PURPOSE OF THE BONDS.

SERIES 2020A (NEW MONEY)

PAY THE COSTS OF THE CONSTRUCTION, ACQUISITION AND INSTALLATION OF VARIOUS CAPITAL IMPROVEMENTS TO BE LOCATED AT THE HEALTHCARE AND RELATED FACILITIES OR PORTIONS THEREOF OWNED AND/OR OPERATED BY UPMC OR ITS SUBSIDIARIES IN THE COMMONWEALTH OF PENNSYLVANIA; AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE SERIES 2020A BONDS.

UPMC MADE AN ELECTION UNDER 1.150-1(C)(3) TO TREAT THE 2020A BONDS AND THE 2020B BONDS AS SEPARATE ISSUES AND MOREOVER TO SUBDIVIDE THE 2020A BONDS INTO SEPARATE ISSUES FOR THE NEW MONEY AND REFUNDING PURPOSES. THE THREE ISSUES WERE ALL SOLD AT THE SAME TIME. THE 2020A NEW MONEY AND REFUNDING PORTIONS WERE REPORTED ON A SINGLE FORM 8038.

SERIES 2020A (REFUNDING)

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V 20-7.21

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

PARTLY CURRENTLY REFUNDED OUTSTANDING PHEFA SERIES 2010E ISSUED 3/24/2010; AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE 2020A BONDS.

UPMC MADE AN ELECTION UNDER 1.150-1(C) (3) TO TREAT THE 202CA BONDS AND THE 2020B BONDS AS SEPARATE ISSUES AND MOREOVER TO SUBDIVIDE THE 2020A BONDS INTO SEPARATE ISSUES FOR THE NEW MONEY AND REFUNDING PURPOSES. THE THREE ISSUES WERE ALL SOLD AT THE SAME TIME. THE 2020A NEW MONEY AND REFUNDING PORTIONS WERE REPORTED ON A SINGLE FORM 8038. \$146.40 WAS EARNED IN THE 2010E BOND FUND.

SERIES 2020B
REFINANCED SERIES 2020 TAXABLE REVENUE NOTE DATED FEBRUARY 3, 2020; AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE SERIES 2020B BONDS.

UPMC MADE AN ELECTION UNDER 1.150-1(C) (3) TO TREAT THE 202CA BONDS AND THE 2020B BONDS AS SEPARATE ISSUES. \$7.90 WAS EARNED IN THE COI FUND.

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

SERIES 2021AB

PAY THE COSTS OF THE CONSTRUCTION, ACQUISITION AND INSTALLATION OF VARIOUS CAPITAL IMPROVEMENTS TO BE LOCATED AT THE HEALTHCARE AND RELATED FACILITIES OR PORTIONS THEREOF OWNED AND/OR OPERATED BY UPMC OR ITS SUBSIDIARIES IN THE COMMONWEALTH OF PENNSYLVANIA; PARTLY CURRENTLY REFUND THE UPMC SERIES 2011B TAXABLE BONDS; PAY THE COSTS OF REFUNDING A PORTION OF THE UPMC SERIES 2011A BONDS; AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE SERIES 2021A & 2021B BONDS.

PART I - BOND ISSUES: THE SERIES 2021A & 2021B BONDS HAVE DIFFERING PART I INFORMATION, BUT ARE CONSIDERED A SINGLE ISSUE FOR TAX PURPOSES. THE FOLLOWING INFORMATION PERTAINS TO THE 2021B BONDS.

A) ALLEGHENY COUNTY HOSPITAL DEVELOPMENT AUTHORITY

B) 25-1327925

C) 01728A-5Q5

D) 7/21/2021

THE SERIES 2021B BONDS WERE NOT YET OUTSTANDING AS OF 6/30/2021.
SCHEDULE K INFORMATION PRESENTED REFLECTS ONLY THE 2021A BONDS FOR THIS REPORTING PERIOD.

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

\$1,463.28 WAS EARNED IN THE 2021A PROJECT FUND AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS.

LAUREL 2010 NOTE

PAY THE COSTS OF THE ACQUISITION, CONSTRUCTION AND EQUIPPING OF CERTAIN ADDITIONS, IMPROVEMENTS AND RENOVATIONS TO THE HOSPITAL FACILITIES OWNED AND OPERATED BY SOLDIERS AND SAILORS MEMORIAL HOSPITAL; AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE SERIES 2010 NOTE.

LAUREL 2011 NOTE

PAY THE COSTS OF THE CONSTRUCTION, ACQUISITION AND INSTALLATION OF VARIOUS CAPITAL IMPROVEMENTS TO BE LOCATED AT THE HEALTHCARE AND RELATED FACILITIES OR PORTIONS THEREOF OWNED AND/OR OPERATED BY UPMC OR ITS SUBSIDIARIES IN THE COMMONWEALTH OF PENNSYLVANIA; AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE SERIES 2011 BONDS.

\$1,228,531.67 OF THE BOND FUND WASN'T DRAWN LEAVING \$8,771,468.33 AS THE TOTAL AMOUNT OF THE DEBT THAT WAS DRAWN.

PINNACLE SERIES 2012A

PAY THE COSTS OF CONSTRUCTION, EQUIPPING, AND DEVELOPMENT OF NEW ACUTE CARE HOSPITAL ON THE FREDERICKSEN CAMPUS LOCATED IN HAMPDEN TOWNSHIP;

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

RENOVATIONS AND IMPROVEMENTS TO THE HARRISBURG HOSPITAL; RENOVATIONS AND IMPROVEMENTS TO THE COMMUNITY GENERAL HOSPITAL; PAYMENT OF CAPITALIZED INTEREST ON THE BONDS THROUGH THE EXPECTED DATE OF COMPLETION OF THE PROJECT; AND PAYMENT OF THE COSTS OF ISSUING THE BONDS.

\$33,434.20 WAS EARNED IN THE PROJECT FUND AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS. REBATE COMPUTATION PERFORMED ON NOVEMBER 8, 2017.

PINNACLE SERIES 2016A

PARTIAL ADVANCE REFUNDING OF DAUPHIN COUNTY GENERAL AUTHORITY SERIES 2009A BONDS ISSUED 6/24/2009; AND PAYMENT OF THE COSTS OF ISSUANCE.

\$3,307,408.34 WAS EARNED IN THE ESCROW FUND AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS. REBATE COMPUTATION PERFORMED ON OCTOBER 13, 2017.

PINNACLE SERIES 2016B (REISSUANCE)

REISSUANCE OF THE 2016B BONDS ORIGINALLY DATED 6/22/2016. ORIGINAL PROJECT CONSISTED OF CURRENT REFUNDING OF THE DAUPHIN COUNTY GENERAL AUTHORITY'S HEALTH SYSTEM REVENUE 2011A BONDS ISSUED 6/28/2011; AND PAYMENT OF THE COSTS OF ISSUANCE.

SOMERSET 2009 NOTE

JSA
0E1511 1.000
02643Q 597Y

V 20-7.21

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

CURRENT REFUNDING OF THE NANTY GLO BOROUGH MUNICIPAL AUTHORITY HOSPITAL REVENUE NOTE, SERIES OF 2008 ISSUED 4/30/2008; AND PAYMENT OF TRANSACTION COSTS, INCLUDING THE COST OF ISSUANCE.

SOMERSET SERIES 2015A

PAY THE COSTS FOR A MAJOR RENOVATION OF THE HOSPITAL HVAC SYSTEM, CARDIAC CATH LAB RENOVATION AND ELEVATOR UPGRADE AND USED TO CURRENT REFUND THE SOMERSET COUNTY HOSPITAL AUTHORITY SERIES 2010 BONDS ISSUED 12/23/2010; AND PAY THE COSTS ASSOCIATED WITH THE ISSUANCE OF THE SERIES 2015 BONDS.

\$4,845.43 WAS EARNED IN THE CONSTRUCTION FUND DURING CONSTRUCTION AND SPENT ACCORDING TO THE PURPOSE OF THE BONDS. REBATE COMPUTATION PERFORMED ON DECEMBER 15, 2020.

SERIES 2010AE

\$30,000,000 OF THE 2010E BONDS WERE REFUNDED BY THE 2020A BONDS. THE BALANCE WAS REFINANCED WITH PROCEEDS OF THE WELLS FARGO (TAXABLE) COVID FINANCING.

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

UPMC BOND SERIES 2010A,E ISSUERS: ALLEGHENY COUNTY HOSPITAL DEVELOPMENT AUTHORITY/PENNSYLVANIA HIGHER EDUCATIONAL FACILITIES AUTHORITY ISSUER EIN: 25-1327925/23-2243852 CUSIP# 1728A Y34/ 70917R YX7SERIES 2010A,E 3/24/2010 THE SERIES 2010A,E BONDS WERE ISSUED CONCURRENTLY WITH THE SERIES 2010B,C,D,F BONDS IN ORDER TO REFUND APPROXIMATELY \$1.1 BILLION AGGREGATE PRINCIPAL AMOUNT OF TAX-EXEMPT BONDS PREVIOUSLY ISSUED FOR THE BENEFIT OF THE CORPORATION OR ONE OF THE SUBSIDIARY HOSPITALS. THE PROCEEDS FROM THE SALE OF THE 2010A,E BONDS WILL BE USED FOR (I) THE REFUNDING OF ALL OR A PORTION OF THE PRINCIPAL OF VARIOUS TAX-EXEMPT BONDS PREVIOUSLY ISSUED BY THE AUTHORITY FOR THE BENEFIT OF THE CORPORATION OR ONE OF THE SUBSIDIARY HOSPITALS AND (II) THE PAYMENT OF ALL OR A PORTION OF THE COSTS OF ISSUING THE 2010A,E. IN CONJUNCTION WITH THE ISSUANCE OF THE SERIES 2010A,E BONDS, THE CORPORATION TERMINATED CERTAIN OF ITS DERIVATIVES CONTRACTS. THE SERIES 2010A,E PROCEEDS WERE USED TO REFUND THE FOLLOWING BOND ISSUES: REFUNDED ACHDA SERIES 1988B BONDS ISSUED 3/1/1988; REFUNDED ACHDA SERIES 1990 BONDS ISSUED 3/15/1990; PARTLY REFUNDED ACHDA SERIES MAGEE 1993 BONDS ISSUED 7/28/1993; PARTLY REFUNDED ACHDA SERIES 1998 B BONDS ISSUED 6/25/1998; PARTLY REFUNDED PHEFA SERIES 1999A BONDS ISSUED 3/4/1999; REFUNDED ACHDA SERIES 1999B BONDS ISSUED 4/21/1999; PARTLY REFUNDED PHEFA SERIES 2001A BONDS ISSUED

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

6/5/2001; PARTLY REFUNDED ACHDA SERIES 2005B BONDS ISSUED 11/17/2005;
PARTLY REFUNDED ACHDA SERIES 2006A BONDS ISSUED 3/30/2006; PARTLY
REFUNDED ACHDA SERIES 2007A2 BONDS ISSUED 5/23/2007; PARTLY REFUNDED
ACHDA SERIES 2007C BONDS ISSUED 11/15/2007; PARTLY REFUNDED ACHDA SERIES
2007D BONDS ISSUED 11/15/2007.

THERE IS A DIFFERENCE OF \$13,518,823 BETWEEN PART II LINE 3 AND PART II
LINES 4 - 12. THIS DIFFERENCE IS ATTRIBUTABLE TO THE NET AMOUNT OF THE
TERMINATION OF SWAPS RELATED TO THE REFUNDED BONDS.

SERIES 2010B,C,D,F

\$150,000,000 OF THE 2010D BONDS WERE REFUNDED BY THE 2019A BONDS.

THE 2010BCF'S WERE PAID OFF WITH EQUITY.

THE SERIES 2010B,C,D,F BONDS WERE ISSUED CONCURRENTLY WITH THE SERIES
2010A,E BONDS IN ORDER TO REFUND APPROXIMATELY \$1.1 BILLION AGGREGATE
PRINCIPAL AMOUNT OF TAX-EXEMPT BONDS PREVIOUSLY ISSUED FOR THE BENEFIT OF
THE CORPORATION OR ONE OF THE SUBSIDIARY HOSPITALS. THE PROCEEDS FROM
THE SALE OF THE 2010B,C,D,F WILL BE USED FOR (I) THE REFUNDING OF ALL OR
A PORTION OF THE PRINCIPAL OF VARIOUS TAX-EXEMPT BONDS PREVIOUSLY ISSUED

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

BY THE AUTHORITY FOR THE BENEFIT OF THE CORPORATION OR ONE OF THE SUBSIDIARY HOSPITALS AND (II) THE PAYMENT OF ALL OR A PORTION OF THE COSTS OF ISSUING THE 2010B,C,D,F. IN CONJUNCTION WITH THE ISSUANCE OF THE SERIES 2010B,C,D,F BONDS, THE CORPORATION TERMINATED CERTAIN OF ITS DERIVATIVES CONTRACTS. THE SERIES 2010B,C,D,F PROCEEDS WERE USED TO REFUND THE FOLLOWING BOND ISSUES: PARTLY REFUNDED ACHDA SERIES 2005B BONDS ISSUED 11/17/2005; PARTLY REFUNDED ACHDA SERIES 2006A BONDS ISSUED 3/30/2006; PARTLY REFUNDED ACHDA SERIES 2007A2 BONDS ISSUED 5/23/2007; PARTLY REFUNDED ACHDA SERIES 2007C BONDS ISSUED 11/15/2007; PARTLY REFUNDED ACHDA SERIES 2007D BONDS ISSUED 11/15/2007; REFUNDED PART AND REISSUED REMAINING ACHDA SERIES 2007B BONDS ISSUED 7/18/2007; REISSUED ACHDA SERIES 2008 NOTE ISSUED 12/12/2008.

THERE IS A DIFFERENCE OF \$3,291,954 BETWEEN PART II LINE 3 AND PART II LINES 4 - 12. THIS DIFFERENCE IS ATTRIBUTABLE TO THE NET AMOUNT OF THE TERMINATION OF SWAPS RELATED TO THE REFUNDED BONDS.

LYCOMING 2011 NOTE

THIS NOTE WAS PAID OFF WITH EQUITY.

Part VI **Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

PAY THE COSTS OF THE PLANNING, DESIGNING, ACQUIRING, CONSTRUCTING, AND
INSTALLING OF A NEW PATIENT SERVICE TOWER, REPLACEMENT OF THE EMERGENCY
DEPARTMENT AND DIAGNOSTIC IMAGING CENTER, REPLACEMENT OF SURGICAL SUITES,
REPLACEMENT OF THE NURSERY AND OB/GYN AREA, AND THE ADDITION OF NEW
PRIVATE PATIENT ROOMS TO BE LOCATED AT THE WILLIAMSPORT HOSPITAL.

SCHEDULE L
(Form 990 or 990-EZ)

Transactions With Interested Persons

OMB No. 1545-0047

2020

**Open To Public
Inspection**

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**

▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

Name of the organization

UPMC

Employer identification number

25-1423657

Part I

Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958 ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II

Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22.

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												
(8)												
(9)												
(10)												
Total ▶ \$												

Part III

Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule L (Form 990 or 990-EZ) 2020

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) SEE PART V					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

PART IV BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS

FOR PURPOSES OF SCHEDULE L, UPMC HAS OBTAINED AND REPORTED RELEVANT INFORMATION FROM INTERESTED PERSONS INCLUDING OFFICERS, KEY EMPLOYEES AND DIRECTORS OF UPMC. EACH OF THE TRANSACTIONS DESCRIBED IN SCHEDULE L PART IV WERE NEGOTIATED AT ARM'S LENGTH AND ARE BASED UPON FAIR VALUE. IN ACCORDANCE WITH APPLICABLE POLICIES AND PROCEDURES, INTERESTED PERSONS ABSTAINED FROM UPMC'S DECISION MAKING PROCESS WITH RESPECT TO EACH TRANSACTION. IN THE INTEREST OF FULL TRANSPARENCY THE DISCLOSURE AMOUNTS INCLUDE ALL UPMC SYSTEM-WIDE ACTIVITY (INCLUSIVE OF UPMC AND ALL SUBSIDIARIES) RATHER THAN ONLY UPMC PARENT ENTITY DISCRETE ACTIVITY. THEY ALSO REFLECT TRANSACTIONS FOR WHICH UPMC IS THE RECIPIENT OF FUNDS, AS WELL AS THE PAYOR OF FUNDS.

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

A NAME OF INTERESTED PERSON HOWARD HANNA MORTGAGE

B RELATIONSHIP BETWEEN INTERESTED BOARD MEMBER HOWARD W. HANNA III
PERSON AND THE ORGANIZATION IS OWNER OF INTERESTED PERSON

C AMOUNT OF TRANSACTION 1,937,237

D DESCRIPTION OF TRANSACTION HEALTH INSURANCE

E SHARING OF ORGANIZATIONS REVENUES NO

A NAME OF INTERESTED PERSON RELOCATION HORIZONS

B RELATIONSHIP BETWEEN INTERESTED BOARD MEMBER HOWARD W. HANNA III
PERSON AND THE ORGANIZATION IS OWNER OF INTERESTED PERSON

C AMOUNT OF TRANSACTION 288,289

D DESCRIPTION OF TRANSACTION RELOCATION SERVICES

E SHARING OF ORGANIZATIONS REVENUES NO

THE MAJORITY OF THE DISCLOSED TRANSACTION AMOUNT IS FOR REIMBURSEMENT OF
UPMC EMPLOYEE RELOCATION EXPENSES FOR WHICH THE INTERESTED PERSON PAID
ON BEHALF OF UPMC.

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

A NAME OF INTERESTED PERSON PARAGON FCODS

B RELATIONSHIP BETWEEN INTERESTED PERSON AND THE ORGANIZATION BOARD MEMBER ELAINE BELLIN IS OWNER OF INTERESTED PERSON

C AMOUNT OF TRANSACTION 3,305,925

D DESCRIPTION OF TRANSACTION WHOLESALE FOOD DISTRIBUTION

E SHARING OF ORGANIZATIONS REVENUES NO

A NAME OF INTERESTED PERSON PARAGON FCODS

B RELATIONSHIP BETWEEN INTERESTED PERSON AND THE ORGANIZATION BOARD MEMBER ELAINE BELLIN IS OWNER OF INTERESTED PERSON

C AMOUNT OF TRANSACTION 573,047

D DESCRIPTION OF TRANSACTION HEALTH INSURANCE

E SHARING OF ORGANIZATIONS REVENUES NO

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

A NAME OF INTERESTED PERSON MICHAEL MCNTLER

B RELATIONSHIP BETWEEN INTERESTED PERSON AND THE ORGANIZATION INTERESTED PERSON IS FAMILY MEMBER OF BOARD MEMBER ROBERT MONTLER

C AMOUNT OF TRANSACTION 91,295

D DESCRIPTION OF TRANSACTION COMPENSATION

E SHARING OF ORGANIZATIONS REVENUES NO

A NAME OF INTERESTED PERSON WELDERS SUPPLY COMPANY

B RELATIONSHIP BETWEEN INTERESTED PERSON AND THE ORGANIZATION BOARD MEMBER MARK H. RAIMY IS OWNER OF INTERESTED PERSON

C AMOUNT OF TRANSACTION 153,041

D DESCRIPTION OF TRANSACTION HEALTH INSURANCE

E SHARING OF ORGANIZATIONS REVENUES NO

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

A NAME OF INTERESTED PERSON CAMPUS SQUARE PARTNERS

B RELATIONSHIP BETWEEN INTERESTED PERSON AND THE ORGANIZATION BOARD MEMBER DOUGLAS A. NEIDICH IS PARTNER OF INTERESTED PERSON

C AMOUNT OF TRANSACTION 421,164

D DESCRIPTION OF TRANSACTION RENT

E SHARING OF ORGANIZATIONS REVENUES NO

A NAME OF INTERESTED PERSON JOSHUA LEVENSON, MD

B RELATIONSHIP BETWEEN INTERESTED PERSON AND THE ORGANIZATION INTERESTED PERSON IS FAMILY MEMBER OF BOARD MEMBER DEBRA L. CAPLAN

C AMOUNT OF TRANSACTION 465,604

D DESCRIPTION OF TRANSACTION COMPENSATION

E SHARING OF ORGANIZATIONS REVENUES NO

A NAME OF INTERESTED PERSON DICK BUILDING COMPANY, LLC

B RELATIONSHIP BETWEEN INTERESTED PERSON AND THE ORGANIZATION BOARD MEMBER DOUGLAS DICK IS OWNER OF INTERESTED PERSON

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

C AMOUNT OF TRANSACTION 100,085

D DESCRIPTION OF TRANSACTION HEALTH INSURANCE

E SHARING OF ORGANIZATIONS REVENUES NO

A NAME OF INTERESTED PERSON DICK BUILDING COMPANY, LLC

B RELATIONSHIP BETWEEN INTERESTED BOARD MEMBER DOUGLAS DICK IS
PERSON AND THE ORGANIZATION OWNER OF INTERESTED PERSON

C AMOUNT OF TRANSACTION 661,562

D DESCRIPTION OF TRANSACTION CONSTRUCTION SERVICES

E SHARING OF ORGANIZATIONS REVENUES NO

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Name of the organization

UPMC

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2020

**Open to Public
Inspection**

Employer identification number

25-1423657

PART I SUMMARY

UPMC IS THE PARENT ORGANIZATION OF A LARGE INTEGRATED HEALTHCARE DELIVERY SYSTEM CONSISTING OF CONTROLLED SUBSIDIARIES WITHIN THE MEANING OF SECTION 6033(H). UPMC'S PRIMARY MISSION IS THE ONGOING SUPPORT OF ALL SUBSIDIARIES IN ORDER TO ASSIST THEM IN ACCOMPLISHING THEIR EXEMPT EDUCATIONAL, HEALTHCARE, AND RESEARCH MISSIONS.

LINE 8 - CONTRIBUTIONS AND GRANTS: PURSUANT TO TREASURY REGULATION SECTION 1.6033-2(D)(5), UPMC HAS ELECTED TO REPORT INFORMATION RELATED TO ITS CONTRIBUTIONS AND GRANTS RECEIVED ON A CONSOLIDATED BASIS FOR ALL OF THE MEMBERS OF THE UPMC GROUP, INCLUDING THIS PARENT ORGANIZATION, ON THE RETURN OF UPMC GROUP, EIN 20-8295721.

PART III STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

UPMC, A WORLD-RENOWNED HEALTH CARE PROVIDER AND INSURER, IS INVENTING NEW MODELS OF PATIENT-CENTERED, COST-EFFECTIVE, ACCOUNTABLE CARE. WITH A CENTRAL MISSION OF PROVIDING OUTSTANDING, ACCESSIBLE PATIENT CARE, UPMC IS SHAPING TOMORROW'S HEALTH CARE THROUGH CLINICAL AND TECHNOLOGICAL INNOVATION, RESEARCH, AND EDUCATION.

AS THE LARGEST NON-GOVERNMENTAL EMPLOYER IN THE COMMONWEALTH OF PENNSYLVANIA - WITH 92,000 EMPLOYEES WITHIN ITS VARIOUS CONTROLLED HEALTH CARE ENTITIES - UPMC ENCOMPASSES 40 HOSPITALS AND 800 DOCTORS' OFFICES AND OUTPATIENT SITES, AND MULTIPLE RETIREMENT AND LONG-TERM CARE

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FACILITIES. BY INTEGRATING ITS HEALTH CARE SERVICES WITH A MAJOR INSURANCE DIVISION FOCUSED ON PROMOTING THE HEALTH OF ITS MEMBERS, UPMC IS ADVANCING THE QUALITY AND EFFICIENCY OF HEALTH CARE, AND DEVELOPING INTERNATIONALLY RENOWNED PROGRAMS IN TRANSPLANTATION, CANCER, NEUROSURGERY, PSYCHIATRY, ORTHOPAEDICS, AND SPORTS MEDICINE, AMONG OTHERS. THESE HIGHLY SPECIALIZED SERVICES DRAW PATIENTS FROM ACROSS THE NATION AND AROUND THE WORLD. CLOSELY AFFILIATED WITH ITS ACADEMIC PARTNER, THE UNIVERSITY OF PITTSBURGH, UPMC'S FLAGSHIP HOSPITAL, UPMC PRESBYTERIAN SHADYSIDE, IS CONSISTENTLY RANKED AMONG THE NATION'S BEST HOSPITALS IN MANY SPECIALTIES BY U.S. NEWS & WORLD REPORT.

UPMC'S LARGEST OPERATING COMPONENT IS ITS HEALTH SERVICES DIVISION, ENCOMPASSING A COMPREHENSIVE ARRAY OF CLINICAL CAPABILITIES. THIS DIVISION INCLUDES ACADEMIC, COMMUNITY, AND REGIONAL HOSPITALS; PRE- AND POST-ACUTE CARE CAPABILITIES; SPECIALTY SERVICE LINES, SUCH AS TRANSPLANTATION SERVICES, WOMEN'S HEALTH, BEHAVIORAL HEALTH, PEDIATRICS, CANCER CARE, AND REHABILITATION SERVICES; CONTRACT SERVICES, SUCH AS EMERGENCY MEDICINE, PHARMACY, AND LABORATORY; AND MORE THAN 5,000 EMPLOYED PHYSICIANS WITH ASSOCIATED PRACTICES. UPMC'S ORGAN TRANSPLANT CENTER IS ONE OF THE LARGEST AND BUSIEST IN THE WORLD, PERFORMING MORE THAN 20,000 TRANSPLANTS SINCE 1981. THE UPMC HILLMAN CANCER CENTER IS ONE OF THE LARGEST INTEGRATED COMMUNITY CANCER NETWORKS IN THE UNITED STATES WITH MORE THAN 70 CENTERS IN PENNSYLVANIA, OHIO, NEW YORK, AND MARYLAND, AND MORE THAN 2,000 PHYSICIANS, RESEARCHERS, AND STAFF.

Name of the organization

UPMC

Employer identification number

25-1423657

UPMC'S EXPERTISE IN ACADEMIC-BASED AND SPECIALIZED MEDICAL CARE, INCLUDING TRANSPLANTATION AND ONCOLOGY, IS KEY TO THE GLOBALIZATION EFFORTS BEING UNDERTAKEN THROUGH ITS INTERNATIONAL DIVISION, WHICH PROMOTES THE EXCHANGE OF SCIENTIFIC KNOWLEDGE WORLDWIDE, WHILE GENERATING REVENUE THAT IS REINVESTED LOCALLY.

IN MANAGING ITS GLOBAL HEALTH ENTERPRISE, UPMC HAS TAKEN A LEADERSHIP ROLE IN GOOD CORPORATE GOVERNANCE PRACTICES - VOLUNTARILY ACHIEVING SARBANES-OXLEY CERTIFICATION FOR SIXTEEN YEARS IN A ROW, PUBLICLY RELEASING QUARTERLY FINANCIAL RESULTS WITHIN 60 DAYS OF EACH QUARTER'S CLOSE, AND CREATING ONE OF THE MOST STRINGENT INDUSTRY RELATIONSHIP POLICIES TO ENSURE THAT PHARMACEUTICAL AND MEDICAL DEVICE COMPANIES DO NOT NEGATIVELY INFLUENCE PATIENT CARE. THESE BUSINESS PRACTICES SET THE STAGE FOR DECISION MAKING THAT IS GOOD FOR UPMC AND THE COMMUNITIES IT SERVES.

COVID-19

WHILE THE COVID-19 PANDEMIC CONTINUES TO POSE UNPRECEDENTED CHALLENGES FOR HOSPITALS AND HEALTH SYSTEMS ACROSS THE CCOUNTRY, UPMC IS LEVERAGING THE CLINICAL AND SCIENTIFIC EXPERTISE OF THE UNIVERSITY OF PITTSBURGH AND UPMC TO KEEP OUR COMMUNITY AND EMPLOYEES SAFE, TREAT THE DISEASE WITH CLINICAL AND OPERATIONAL EXCELLENCE, AND LEAD IMPORTANT RESEARCH EFFORTS. WITH A STRONG PRESENCE IN BOTH THE PROVIDER AND INSURANCE BUSINESSES, AS WELL AS INTERNATIONAL AND COMMERCIALIZATION ARENAS, UPMC IS REPOSITIONING

Name of the organization

UPMC

Employer identification number

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AND DEPLOYING ITS ASSETS IN UNIQUE WAYS. FROM THE ONSET OF THE PANDEMIC, UPMC'S DIVERSIFIED IDFS (INTEGRATED DELIVERY AND FINANCING SYSTEM) MODEL POSITIONED IT TO WITHSTAND VOLATILITY NEVER BEFORE SEEN IN THE HEALTH CARE MARKET.

THROUGHOUT THE EVOLVING PANDEMIC, UPMC'S EXPERTS EXPLAINED HIGHLY COMPLEX SCIENCE TO THE GENERAL PUBLIC. OUR CYCLE OF 37 PUBLIC BRIEFINGS PROVIDED A TRUSTED SOURCE FOR CLINICAL AND SAFETY INFORMATION DURING A PERIOD CHARACTERIZED BY WIDESPREAD FEAR AND MISINFORMATION. IN 2021, UPMC PROVIDED UNWAVERING SUPPORT FOR ITS FRONTLINE CAREGIVERS WHO HAVE MADE TRULY EXCEPTIONAL CONTRIBUTIONS IN AN EXTREMELY STRESSFUL AND DEMANDING TIME. UPMC MADE NUMEROUS INVESTMENTS TO SUPPORT AND RETAIN ITS WORKFORCE, INCLUDING MORE THAN \$350 MILLION TO ENHANCE SALARIES AND BENEFITS, AND THE DEVELOPMENT OF WORKPLACE FLEXIBILITY GUIDELINES. UPMC HAS IMPLEMENTED A PAY PROTECTION PROGRAM TO HELP SHIELD ITS WORKFORCE FROM THE RISK OF INFECTION AND THE POSSIBILITY OF SEVERE FINANCIAL LOSS.

UPMC CONTINUED TO FOCUS ON PROTECTING AND TREATING VULNERABLE POPULATIONS INCLUDING THE ELDERLY, FRAIL, IMMUNOCOMPROMISED, AND THOSE IN POVERTY. UPMC PARTNERED WITH COMMUNITY ORGANIZATIONS TO ADDRESS VACCINE HESITANCY AND HEALTH CARE DISPARITIES, COMPLETING MORE THAN 100 COMMUNITY COLLABORATIVE EVENTS AND VACCINATING THOUSANDS OF THE REGION'S MOST VULNERABLE. EFFORTS INCLUDED PARTNERSHIPS WITH CHURCHES, COMMUNITY CENTERS, AND OTHER COMMUNITY ORGANIZATIONS TO COORDINATE RESOURCES AND CREATE ENERGY AND PASSION AROUND VACCINE DEPLOYMENT AND EDUCATION. UPMC

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ESTABLISHED COVID-19 CLINICS AND TESTING CENTERS THROUGHOUT THE REGION, AND TO DATE UPMC HAS PERFORMED MORE THAN 1 MILLION COVID-19 TESTS AND DELIVERED MORE THAN 786,000 DOSES OF THE COVID-19 VACCINE. UPMC'S CLINICAL STAFF CARED FOR A RECORD NUMBER OF PATIENTS ARRIVING IN MULTIPLE WAVES; DURING 2021 UPMC CARED FOR MORE THAN 20,000 PATIENTS HOSPITALIZED WITH COVID-19. TO CONTINUE TO MEET OUR COMMUNITY'S HEALTH CARE NEEDS DURING THE PANDEMIC, UPMC RECONSTRUCTED ITS CLINICAL DELIVERY SYSTEM TO SAFELY CARE FOR THE CRITICALLY ILL - INCREASING SYSTEMWIDE CAPACITY TO 750 ICU BEDS, WHILE MAINTAINING ACCESS TO MEDICAL-SURGICAL TREATMENTS. TELEMEDICINE CONNECTED UPMC'S CRITICAL CARE SPECIALISTS WITH PROVIDERS HUNDREDS OF MILES AWAY - GREATLY EXTENDING THE REACH OF THE ORGANIZATION'S WORLD-CLASS CARE. UPMC CONDUCTED APPROXIMATELY ONE MILLION TELEMEDICINE VISITS OVER THE PAST TWO YEARS - ALLOWING PROVIDERS AND PATIENTS TO AVOID EXPOSURE WHILE ACHIEVING GREATER ACCESS TO CARE.

ON THE SCIENTIFIC FRONT, UPMC'S "LEARNING WHILE DOING" APPROACH PLAYED AN IMPORTANT ROLE IN DEFINING CLINICAL PROTOCOLS WORLDWIDE. UPMC LEVERAGED ITS RANDOMIZED EMBEDDED MULTIFACTORIAL ADAPTIVE PLATFORM (REMAP) MODEL FOR ADAPTIVE CLINICAL TRIALS, PROVIDING REAL-TIME RESULTS AND EXPONENTIALLY ACCELERATING THE CLINICAL TRIALS PROCESS. OVER THE PAST YEAR, THE REMAP TECHNIQUE WAS SUCCESSFULLY DEPLOYED TO STUDY THE COMPARATIVE EFFICACY OF VARIOUS MONOCLONAL ANTIBODY TREATMENTS FOR COVID-19 PATIENTS. BASED ON THESE FINDINGS, UPMC PARTNERED WITH THE FEDERAL GOVERNMENT TO CREATE AN OUTREACH, DISTRIBUTION, AND DELIVERY INFRASTRUCTURE TO ENSURE MONOCLONAL ANTIBODIES WERE AN ACCESSIBLE

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COVID-19 TREATMENT. THROUGH 2021, UPMC ADMINISTERED MORE THAN 22,000 MONOCLONAL ANTIBODY INFUSION TREATMENTS. AS A MEMBER OF THE INTERNATIONAL REMAP COMMUNITY - ACQUIRED PNEUMONIA - COVID CONSORTIUM, UPMC'S EFFORTS HAVE CONTRIBUTED TO THE IDENTIFICATION OF MULTIPLE COVID BEST-PRACTICE TREATMENT GUIDELINES AND FIVE PAPERS HAVE BEEN PUBLISHED IN LEADING JOURNALS. AS UPMC DEVELOPS A GREATER UNDERSTANDING OF THE DISEASE, WE ARE CONTINUING TO ADAPT AND EVOLVE TO KEEP OUR COMMUNITIES HEALTHY. DURING FISCAL YEAR 2021, THE ENTIRE UPMC SYSTEM EXPENDED MORE THAN \$170 MILLION GROSS DOLLARS FOR COVID SPECIFIC RELATED PROGRAMS AND MATERIALS.

PART III STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS CONTINUED
HIGH-QUALITY, PATIENT-FOCUSED CARE

BY LEVERAGING RESOURCES AND EXPERTISE ACROSS ITS GLOBAL NETWORK, UPMC ACHIEVES SIGNIFICANT GAINS IN THE DELIVERY OF HIGH-QUALITY, PATIENT-FOCUSED CARE THAT IS EVIDENCE BASED.

THE WOLFF CENTER AT UPMC COORDINATES AND CONNECTS QUALITY, SAFETY, PATIENT CARE AND IMPROVEMENT EFFORTS. IT PARTNERS WITH COLLEAGUES ACROSS UPMC TO IMPROVE HEALTH CARE DELIVERY FOR PATIENTS AND MEMBERS; AND SUPPORTS THE TRANSFORMATION AND IMPROVEMENT OF PATIENT CARE DELIVERY AND OUTCOMES THROUGH THE DISSEMINATION OF BEST STRATEGIES.

THE WOLFF CENTER'S EXPERTISE INCLUDES ENTERPRISE-WIDE DATA QUALITY AND ANALYTICS, PATIENT EXPERIENCE MEASUREMENT, INFECTION PREVENTION,

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REGULATORY SUPPORT, QUALITY EDUCATION, QUALITY IMPROVEMENT, EMERGENCY
PREPAREDNESS, PATIENT EDUCATION AND COMMUNICATION, AND QUALITY OVERSIGHT.

LAST FISCAL YEAR, IN THE MIDDLE OF THE COVID-19 PANDEMIC, THE WOLFF
CENTER SUPPORTED EMPLOYEES, PATIENTS AND COMMUNITIES BY PROVIDING AND
FACILITATING COVID-19 TESTING, VACCINATIONS, AND CARE. THE EMERGENCY
PREPAREDNESS TEAM PROVIDED GUIDANCE, DIRECTION AND LEADERSHIP TO HEALTH
CARE COALITIONS ACROSS THE COMMONWEALTH AND BEYOND, AND SUPPORTED
GOVERNMENT AND HEALTH CARE EMERGENCY MANAGERS WITH SITUATIONAL AWARENESS
AND PLANNING.

THE WOLFF CENTER ALSO CONTINUED TO FOCUS ON QUALITY AND SAFETY OUTCOMES
TO STRENGTHEN THE PATIENT SAFETY CULTURE AND ACHIEVE TARGETED
IMPROVEMENTS IN CLINICAL AREAS OF FOCUS, ALL IN ALIGNMENT WITH UPMC'S
STRATEGIC CLINICAL PRIORITIES. THE CENTER'S WORK INCLUDED THE
IMPLEMENTATION OF MORE THAN 100 INDIVIDUAL PROJECTS TO SUPPORT LOCAL AND
SYSTEM-WIDE IMPROVEMENTS. ADDITIONALLY, THE WOLFF LEARNING ACADEMY
OFFERED MORE THAN 95 VARIETIES OF VIRTUAL AND IN-PERSON EDUCATION COURSES
TO 26,062 EMPLOYEES, INCLUDING PHYSICIANS AND APPS, ASSISTING IN
STANDARDIZING WORKFLOWS AND ENSURING CLINICAL EXCELLENCE THROUGHOUT
UPMC'S VAST NETWORK.

INVESTMENTS IN TECHNOLOGY AND FACILITIES

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UNDERPINNING UPMC'S QUALITY AND PATIENT SAFETY EFFORTS IS A ROBUST TECHNOLOGY INFRASTRUCTURE. OVER THE PAST FIVE YEARS, UPMC HAS INVESTED MORE THAN \$4.2 BILLION IN NEW FACILITIES, EQUIPMENT, AND INFORMATION TECHNOLOGY TO MAKE CARE MORE CONVENIENT AND ACCESSIBLE ACROSS THE REGION. ITS HOSPITALS ARE AMONG THE MOST ADVANCED USERS OF ELECTRONIC HEALTH RECORDS, AS MEASURED BY HIMSS ANALYTICS, A SUBSIDIARY OF THE HEALTHCARE INFORMATION AND MANAGEMENT SYSTEMS SOCIETY (HIMSS). FOR 23 YEARS IN A ROW, UPMC HAS BEEN NAMED A MOST WIRED HEALTH CARE SYSTEM BY THE DIGITAL HEALTH MOST WIRED SURVEY FROM THE COLLEGE OF HEALTHCARE INFORMATION MANAGEMENT EXECUTIVES (CHIME). IN 2021, UPMC RECEIVED THE HIGHEST HONOR AND WAS CERTIFIED AS A LEVEL 10 HEALTH CARE SYSTEM. THIS SOLIDIFIES UPMC AS A LEADER IN HEALTH CARE TECHNOLOGY AND DEMONSTRATES THAT UPMC'S TECHNOLOGY IS AT THE FOREFRONT OF IMPROVING THE HEALTH CARE JOURNEY FOR BOTH PATIENTS AND STAFF, THROUGH INNOVATIVE TECHNOLOGIES THAT ARE AUTOMATED, RELIABLE, SECURE, AND PATIENT FOCUSED. UPMC IS ALSO PARTNERING WITH LEADING TECHNOLOGY COMPANIES, LIKE MICROSOFT, AS WELL AS INNOVATIVE STARTUPS, TO DEVELOP AND BRING TO THE PUBLIC THE NEXT GENERATION OF HEALTH CARE INFORMATION TECHNOLOGY. IN KEEPING WITH ITS GOAL OF ENSURING ACCESS TO HIGH-QUALITY HEALTH CARE, UPMC CONTINUES TO INVEST IN WORLD-CLASS FACILITIES AND CLINICAL SERVICES - IN 2021, UPMC SPENT \$782 MILLION ON CAPITAL IMPROVEMENTS.

SUPPORT FOR RESEARCH AND EDUCATION

IN CONCERT WITH ITS ACADEMIC PARTNER, THE UNIVERSITY OF PITTSBURGH, UPMC

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IS TRANSLATING BIOMEDICAL RESEARCH INTO INNOVATIVE CLINICAL CARE, WHILE TRAINING THE CLINICIANS AND RESEARCHERS WHO WILL ADVANCE HEALTH CARE IN THE DECADES TO COME. UPMC'S FINANCIAL SUPPORT FOR RESEARCH AND EDUCATION - PRIMARILY AT THE UNIVERSITY OF PITTSBURGH - WAS \$593 MILLION IN FISCAL YEAR 2021. UPMC'S ONGOING SUPPORT HAS HELPED THE UNIVERSITY ACHIEVE A RANK AMONG THE TOP 10 RECIPIENTS OF NATIONAL INSTITUTES OF HEALTH (NIH) GRANTS SINCE 1998. THIS SUCCESS KEEPS BOTH ORGANIZATIONS ON THE CUTTING EDGE OF MEDICAL RESEARCH, WHILE BRINGING MORE THAN \$569 MILLION OF NIH FUNDING TO THE REGION. THE RESULTS OF THIS RESEARCH ARE WIDELY SHARED WITH OTHER SCIENTISTS AND RESEARCHERS, LEADING TO DISCOVERIES AND IMPROVEMENTS IN HEALTH CARE PRACTICES THAT BENEFIT THE GENERAL PUBLIC. UPMC UNDERWRITES THE TRAINING OF MORE THAN 1,900 MEDICAL CLINICAL FELLOWS, AND MEDICAL, PHARMACY, DENTAL, AND PCDIATRY RESIDENTS. UPMC ALSO OPERATES SIX SCHOOLS OF NURSING, OFFERS A TRAINING PROGRAM FOR RADIOLOGY TECHNICIANS, AND COORDINATES A WIDE ARRAY OF CONTINUING MEDICAL EDUCATION PROGRAMS TO ALLOW THE REGION'S MEDICAL COMMUNITY TO BUILD ITS COLLECTIVE EXPERTISE.

CARING FOR THE COMMUNITY

IN FISCAL YEAR 2021, UPMC SPENT \$444 MILLION TO PROVIDE FINANCIAL ASSISTANCE TO PATIENTS OF LIMITED FINANCIAL MEANS. UPMC'S FINANCIAL ASSISTANCE PROGRAM HAS BEEN DESIGNED TO BE EASILY ACCESSIBLE AND USER FRIENDLY TO PATIENTS IN NEED. UPMC OPERATES PURSUANT TO AN EXPANSIVE

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FINANCIAL ASSISTANCE POLICY THAT EXTENDS FREE OR DISCOUNTED HEALTH SERVICES TO UNINSURED AND UNDERINSURED INDIVIDUALS AND FAMILIES EARNING UP TO 400 PERCENT OF THE FEDERAL POVERTY LEVEL - AS MUCH AS \$106,000 FOR A FAMILY OF FOUR IN 2021.

ADDITIONALLY, IN FISCAL YEAR 2021, UPMC SPENT \$646 MILLION TO COVER PAYMENT SHORTFALLS FOR THOSE ENROLLED IN MEDICARE.

UPMC ANNUALLY PROVIDES OR CONTRIBUTES TO MORE THAN 3,000 COMMUNITY HEALTH IMPROVEMENT PROGRAMS AND SUBSIDIZED SERVICES. MANY OF THESE PROGRAMS TARGET THE UNMET NEEDS OF VULNERABLE POPULATIONS, ADDRESSING CHRONIC HEALTH PROBLEMS SUCH AS DIABETES, HEART DISEASE, AND CANCER, AS WELL AS SOCIAL ISSUES SUCH AS OPIOID ADDICTION, TEEN PREGNANCY, VIOLENCE AGAINST WOMEN, AND ELDERLY LIVING ALONE. THE COST OF THESE SERVICES, ALONG WITH CHARITABLE INITIATIVES AND DONATIONS THAT BENEFIT THE COMMUNITY, AMOUNTED TO \$473 MILLION IN FISCAL YEAR 2021.

UPMC'S CONTRIBUTIONS GO FAR BEYOND ITS TRADITIONAL ROLE AS THE REGION'S LARGEST PROVIDER OF HEALTH CARE. A CATALYST FOR ECONOMIC IMPROVEMENT, UPMC IS HELPING TO DEVELOP A BRIGHTER FUTURE FOR THE REGION; A FUTURE BUILT ON MEDICINE, RESEARCH, AND TECHNOLOGY. AN IN-DEPTH REPORT ON THE COMPREHENSIVE COMMUNITY BENEFITS PROVIDED BY UPMC IS AVAILABLE ON ITS WEBSITE: UPMC.COM.

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PART IV CHECKLIST OF REQUIRED SCHEDULES

LINE 2 - CONTRIBUTIONS AND GRANTS: PURSUANT TO TREASURY REGULATION

SECTION 1.6033-2(D)(5), UPMC HAS ELECTED TO REPORT INFORMATION RELATED TO ITS CONTRIBUTIONS AND GRANTS ON A CONSOLIDATED BASIS FOR ALL OF THE MEMBERS OF THE UPMC GROUP, INCLUDING THIS PARENT ORGANIZATION, ON THE RETURN OF UPMC GROUP, EIN 20-8295721.

LINE 12 - AN EXTERNAL AUDIT IS COMPLETED AT A CONSOLIDATED UPMC SYSTEM LEVEL ONLY, INCLUDING UPMC AND ALL TAXABLE AND TAX EXEMPT SUBSIDIARIES.

PART V STATEMENTS REGARDING OTHER IRS FILINGS AND TAX COMPLIANCE

LINE 15

UPMC DOES NOT HAVE A SECTION 4960 EXCISE TAX LIABILITY TO REPORT ON FORM 4720 RELATED TO PAYMENTS OF \$1 MILLION OR MORE IN REMUNERATION OR EXCESS PARACHUTE PAYMENTS DURING ITS TAX YEAR ENDED JUNE 30, 2021. REMUNERATION IS NOT TAKEN INTO ACCOUNT FOR THE PURPOSES OF THE EXCISE TAX IF NO DEDUCTION FOR THE REMUNERATION IS ALLOWED BY REASON OF SECTION 162(M). SECTION 162(M)(6) IMPOSES A COMPENSATION DEDUCTION LIMITATION ON CONTROLLED GROUPS, SUCH AS THE UPMC CONTROLLED GROUP, THAT INCLUDE ONE OR MORE COVERED HEALTH INSURANCE PROVIDERS.

PART VI GOVERNANCE, MANAGEMENT, DISCLOSURE

SECTION A, LINE 1,2,7 SECTION B, LINE 11, 12C

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SECTION A, LINE 1 ALTHOUGH THE UPMC BOARD OF DIRECTORS IS INDEPENDENT IN FACT, THE FORM 990 REQUIRES CERTAIN BOARD MEMBER TO BE REPORTED AS NOT INDEPENDENT FOR THE PURPOSES OF THE FORM 990. GENERALLY, THIS IS DUE TO THE BOARD MEMBERS' AFFILIATION WITH COMPANIES THAT PROVIDE SERVICES TO UPMC ON THE SAME TERMS AS THOSE OFFERED TO THE GENERAL PUBLIC OR TO COMPENSATION PAID BY THE UNIVERSITY OF PITTSBURGH, ANOTHER SECTION 501(C)(3) ORGANIZATION THAT UPMC SUPPORTS, FOR OPERATIONAL ROLES.

SECTION A, LINE 2 DID ANY OFFICER, TRUSTEE, OR KEY EMPLOYEE HAVE A FAMILY RELATIONSHIP OR BUSINESS RELATIONSHIP WITH ANY OTHER OFFICER, DIRECTOR, TRUSTEE, OR KEY EMPLOYEE? FOR PURPOSES OF PART VI, LINE 2, UPMC HAS OBTAINED AND REPORTED RELEVANT INFORMATION FROM INTERESTED PERSONS INCLUDING DIRECTORS, OFFICERS, AND KEY EMPLOYEES OF UPMC AND OFFICERS AND KEY EMPLOYEES OF ALL GROUP SUBORDINATES, AND DIRECTORS OF GROUP SUBORDINATE ENTITIES WITH DECISION-MAKING BOARD AUTHORITY THAT IS INDEPENDENT FROM THAT OF UPMC PARENT. MULTIPLE UPMC OFFICERS, DIRECTORS, TRUSTEES, AND/OR KEY EMPLOYEES HAVE RELATIONSHIPS BY VIRTUE OF THE FACT THAT THEY ARE ALSO OFFICERS, DIRECTORS, TRUSTEES, AND/OR KEY EMPLOYEES OF UPMC SUBSIDIARIES AND AFFILIATES. THESE RELATIONSHIPS ARE NOT SEPARATELY DISCLOSED BELOW BECAUSE THEY ARE NOT "BUSINESS RELATIONSHIPS" FOR THE PURPOSES OF FORM 990. THE FOLLOWING UPMC OFFICERS, DIRECTORS, TRUSTEES, AND/OR KEY EMPLOYEES HAVE BUSINESS RELATIONSHIPS, AS REQUIRED TO BE DISCLOSED BY FORM 990 PART VI, SECTION A, LINE 2, BY VIRTUE OF THE FACT THAT THEY ARE ALSO OFFICERS, DIRECTORS, TRUSTEES, OR KEY EMPLOYEES OF

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OTHER UNRELATED TAXABLE ORGANIZATIONS.

BOD MEMBER/OFFICER/KEY EMPLOYEE: MCCRADY

RELATIONSHIP: BUSINESS

ASSOCIATED PERSON: HAMILTON

BOD MEMBER/OFFICER/KEY EMPLOYEE: HAMILTON

RELATIONSHIP: BUSINESS

ASSOCIATED PERSON: MCCRADY

SECTION A, LINE 7 UPMC DOES NOT HAVE MEMBERS OR STOCKHOLDERS. THE BOARD OF TRUSTEES OF THE UNIVERSITY OF PITTSBURGH SHALL APPOINT DIRECTORS (UNIVERSITY DIRECTORS) EXERCISING ONE-THIRD OF THE TOTAL NUMBER OF VOTES REPRESENTED ON THE BOARD. THOSE DIRECTORS WHO ARE NOT UNIVERSITY DIRECTORS SHALL BE COMMUNITY DIRECTORS EXERCISING THE REMAINING VOTES REPRESENTED ON THE BOARD. CERTAIN COMMUNITY DIRECTORS SHALL BE APPOINTED IN ACCORDANCE WITH CONTRACTUAL COMMITMENTS WITH HOSPITALS OR HOSPITAL-RELATED ENTITIES OR CONSTITUENCIES APPROVED BY THE CORPORATION, AND THE BALANCE OF SUCH COMMUNITY DIRECTORS SHALL BE NOMINATED BY THE GOVERNANCE AND NOMINATING COMMITTEE AND ELECTED BY THE BOARD. NO GOVERNANCE DECISIONS OF UPMC ARE RESERVED TO OR SUBJECT TO APPROVAL BY MEMBERS, STOCKHOLDER OR PERSONS OTHER THAN THE BOARD OF DIRECTORS.

SECTION B, LINE 11 THE COMPLETED FORM 990 WAS REVIEWED BY THE CHIEF

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FINANCIAL OFFICER, MEMBERS OF THE CORPORATE TAX DEPARTMENT, MEMBERS OF THE CORPORATE LEGAL DEPARTMENT, AND OTHER MEMBERS OF UPMC MANAGEMENT PRIOR TO ITS FILING. VARIOUS SECTIONS OF THE 990 WERE ALSO REVIEWED BY THE CHIEF EXECUTIVE OFFICER AND COMMITTEES OF THE FILING ORGANIZATION'S BOARD OF DIRECTORS, AS APPLICABLE. FOR EXAMPLE, THE EXECUTIVE COMPENSATION COMMITTEE OF THE BOARD REVIEWED SECTIONS RELATED TO COMPENSATION AND RELATED PARTY TRANSACTIONS. IN ADDITION, THE BOARD OF DIRECTORS ESTABLISHED A 990 SUBCOMMITTEE, COMPRISED OF THE CHAIRS OF THE BOARD, EXECUTIVE COMPENSATION COMMITTEE, ETHICS AND COMPLIANCE COMMITTEE, FINANCE COMMITTEE AND AUDIT COMMITTEE, WHICH REVIEWED THE ENTIRE COMPLETED FORM 990 PRIOR TO FILING. ADDITIONALLY, THE FORM 990 IS REVIEWED BY AN OUTSIDE INDEPENDENT PUBLIC ACCOUNTING FIRM WHO AS PART OF THE PROCESS SIGNS THE RETURN AS PAID PREPARER. AFTER THIS REVIEW BUT PRIOR TO FILING, THE FULL BOARD OF DIRECTORS WAS NOTIFIED THAT THE COMPLETED FORM 990 WAS AVAILABLE FOR REVIEW ON THE BOARD'S SECURE WEBSITE. ALSO PRIOR TO FILING, MANAGEMENT PROVIDED THE OPPORTUNITY FOR ALL BOARD MEMBERS OF THE FULL UPMC BOARD TO ASK ANY QUESTIONS OR RAISE ANY COMMENTS ON THE FULL RETURN THEY WERE PROVIDED.

SECTION B, LINE 12C: UPMC, AS A SYSTEM-WIDE PRACTICE, REQUIRES KEY EMPLOYED AND NON-EMPLOYED PERSONNEL TO COMPLY WITH ITS CONFLICT OF INTEREST POLICIES WHEN THEY ENGAGE IN UPMC-RELATED BUSINESS. INDIVIDUALS COVERED BY THE POLICIES INCLUDE: UPMC BOARD MEMBERS, CORPORATE OFFICERS, AND KEY EMPLOYEES, UPMC PHYSICIANS AND NON-PHYSICIAN EMPLOYEES WHO HOLD A POSITION OF INFLUENCE, IDENTIFIED NON-EMPLOYED MEMBERS OF THE

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UPMC MEDICAL STAFF WHO HOLD A POSITION OF INFLUENCE, AND INDIVIDUALS CONDUCTING CLINICAL RESEARCH AT UPMC, WHETHER OR NOT THEY ARE EMPLOYED BY UPMC. THESE INDIVIDUALS ARE REQUIRED TO COMPLETE A QUESTIONNAIRE AT LEAST ANNUALLY, WHICH ALONG WITH OTHER DATA IS USED TO IDENTIFY POSSIBLE INDIVIDUAL AND INSTITUTIONAL CONFLICTS OF INTEREST. IF A POTENTIAL CONFLICT IS IDENTIFIED REGARDING A SPECIFIC UPMC ACTIVITY, THE CORPORATE COMPLIANCE DEPARTMENT, WITH THE ASSISTANCE OF THE LEGAL DEPARTMENT, EITHER DEVELOPS A WRITTEN PLAN DESIGNED TO PREVENT THE CONFLICT FROM INFLUENCING DECISIONS RELATED TO THAT ACTIVITY, OR REQUIRES THAT THE CONFLICTING RELATIONSHIP BE DIVESTED, AS APPROPRIATE. FOR EMPLOYED PERSONNEL AND NON-BOARD MEMBER, NON-EMPLOYED PERSONNEL, THE CONFLICT OF INTEREST IDENTIFICATION AND MANAGEMENT PROCESS IS ULTIMATELY OVERSEEN BY AN ETHICS AND COMPLIANCE COMMITTEE OF THE UPMC BOARD OF DIRECTORS ON BEHALF OF UPMC AND ALL OF ITS SUBSIDIARIES. POTENTIAL CONFLICT OF INTEREST TRANSACTIONS INVOLVING UPMC BOARD MEMBERS AND ENTITIES WITH WHICH THEY ARE AFFILIATED ARE MONITORED AND SUBJECT TO PRE-APPROVAL BY THE GOVERNANCE AND NOMINATING COMMITTEE OF THE UPMC BOARD OF DIRECTORS. IN ADDITION TO THE GENERAL CORPORATE AND BOARD POLICIES DESCRIBED ABOVE, UPMC HAS ALSO DEVELOPED AND IMPLEMENTED A SEPARATE TAX QUESTIONNAIRE DISTRIBUTED TO OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES ANNUALLY THAT SPECIFICALLY ADDRESSES DISCLOSURE REQUIREMENTS OF FORM 990.

PART VI GOVERNANCE, MANAGEMENT, DISCLOSURE

SECTION B, LINE 15A AND B: AS A SYSTEM-WIDE PRACTICE, TO SUPPORT UPMC'S MISSION AND AS SET FORTH IN THE UPMC BYLAWS, THE BOARD OF DIRECTORS HAS FORMED AN EXECUTIVE COMPENSATION COMMITTEE ("COMMITTEE") AND DELEGATED TO

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IT THE RESPONSIBILITY FOR ESTABLISHMENT AND IMPLEMENTATION OF OFFICER AND KEY EMPLOYEE TOTAL COMPENSATION PROGRAMS. AS PART OF THIS RESPONSIBILITY, THE COMMITTEE REPORTS REGULARLY TO THE BOARD OF DIRECTORS. WITH BOARD OF DIRECTORS APPROVAL, THE COMMITTEE HAS ADOPTED A FORMAL CHARTER, WHICH INCLUDES THE ESTABLISHMENT OF A COMPENSATION PHILOSOPHY AND RELATED POLICIES WITH RESPECT TO THE TOTAL COMPENSATION PAID BY UPMC TO ITS OFFICERS AND KEY EMPLOYEES. THE UPMC TOTAL COMPENSATION PROGRAM FOR OFFICERS AND KEY EMPLOYEES INCLUDES AN INCENTIVE COMPENSATION COMPONENT. THIS COMPONENT IS BASED UPON THE ACCOMPLISHMENT OF PREDETERMINED PERFORMANCE GOALS AND OBJECTIVES WHICH FOCUS ON THE ACHIEVEMENT OF MULTIPLE ANNUAL AND THREE YEAR INDIVIDUAL AND GROUP PERFORMANCE CRITERIA IN THE CONTEXT OF APPROPRIATE RISK TAKING. THESE CRITERIA DIRECTLY SUPPORT UPMC'S MISSION AND INCLUDE: PATIENT QUALITY AND SATISFACTION, COMMUNITY BENEFITS, OPERATIONAL AND FINANCIAL STRENGTH, LEADERSHIP DEVELOPMENT, AND STRATEGIC BUSINESS INITIATIVES AMONG OTHERS. THE TOTAL COMPENSATION PROGRAM IS INTEGRATED WITH AND REINFORCES THE UPMC BUSINESS PLANNING CYCLE AS WELL AS MANAGEMENT DEVELOPMENT AND SUCCESSION PLANNING PROCESSES. IT IS THE COMMITTEE'S JUDGMENT THAT THE STRUCTURE OF THE TOTAL COMPENSATION PROGRAM IS VITAL TO, AND STRONGLY SUPPORTIVE OF, THE HIGH LEVEL OF ONGOING SUCCESS OF UPMC AND FOSTERS THE RETENTION OF CRITICAL OFFICER AND KEY EMPLOYEE TALENT. THE TOTAL COMPENSATION DETERMINATION PROCESS UTILIZED BY THE COMMITTEE IS INTENDED TO SATISFY THE "REBUTTABLE PRESUMPTION OF REASONABLENESS" AS SET FORTH IN THE REGULATIONS TO SECTION 4958 OF THE INTERNAL REVENUE CODE ("CODE"). THIS MEANS THAT COMPENSATION PROGRAMS AND LEVELS ARE APPROVED IN ADVANCE BY

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THE COMMITTEE WHICH IS COMPOSED ENTIRELY OF OUTSIDE DIRECTORS WHO DO NOT HAVE A CONFLICT OF INTEREST, AS DEFINED BY THE CODE, WITH RESPECT TO THE COMPENSATION PROGRAM AND LEVELS. THE COMMITTEE OBTAINS AND RELIES UPON A BROAD RANGE OF APPROPRIATE DATA AS TO COMPARABILITY PRIOR TO MAKING ITS DETERMINATIONS. THE COMMITTEE THEN CONTEMPORANEOUSLY DOCUMENTS, IN FORMAL MEETING MINUTES, THE BASIS AND REASONS FOR ITS DETERMINATIONS. THE TOTAL COMPENSATION PROGRAM IS DESIGNED AND ADMINISTERED IN ACCORDANCE WITH THE UPMC BYLAWS, SOUND BUSINESS PRACTICES, THE TENETS OF COMMON LAW BUSINESS JUDGMENT AND FIDUCIARY RESPONSIBILITY AS WELL AS ADHERENCE TO ALL RELEVANT FEDERAL, STATE AND LOCAL LAWS. IN ADDITION TO CODE SECTION 4958, AS SET FORTH ABOVE, THIS INCLUDES BUT IS NOT LIMITED TO CODE SECTION 501(C)(3) AND THE APPLICABLE REGULATIONS THEREUNDER AS WELL AS ALL LAWS AND REGULATIONS PROHIBITING PRIVATE INUREMENT, PRIVATE BENEFIT TRANSACTIONS AND DISCRIMINATION. FURTHER, THE COMMITTEE HAS IDENTIFIED AND ADOPTED, AS APPROPRIATELY MODIFIED FOR UPMC, COMPENSATION PROGRAM "BEST PRACTICES" FROM THE BUSINESS WORLD (E.G. SARBANES OXLEY, OTHER SEC REGULATIONS, ETC). THE COMMITTEE BELIEVES THAT WHILE THESE PRACTICES ARE NOT REQUIRED IN THE TAX EXEMPT SECTOR, THEY ARE IN THE BEST INTERESTS OF THE ORGANIZATION AND FURTHER SUPPORT UPMC'S NCNPROFIT MISSION. IN ACCORDANCE WITH THE ABOVE, DETERMINATION OF TCTAL COMPENSATION FOR THE CEO IS MADE EXCLUSIVELY BY THE COMMITTEE. DETERMINATION OF TOTAL COMPENSATION FOR OTHER OFFICERS AND KEY EMPLOYEES IS RECOMMENDED BY THE CEO AND SUBJECT TO REVIEW AND APPROVAL BY THE COMMITTEE. THE COMMITTEE, WHICH MEETS AT LEAST FOUR TIMES A YEAR, OBTAINS PROFESSIONAL ADVICE FROM ITS OWN EXPERTS, INCLUDING ACCOUNTANTS, EXECUTIVE COMPENSATION

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CONSULTANTS AND LEGAL COUNSEL.

SECTION B, LINE 16A AND B: UPMC HAS A FORMAL WRITTEN POLICY PERTAINING TO JOINT VENTURES BETWEEN UPMC TAX-EXEMPT ENTITIES AND TAXABLE ENTITIES. THE POLICY EMPLOYS AN INTERNAL PROCEDURE FOR REVIEW OF ALL TRANSACTIONS INVOLVING POTENTIAL PARTICIPATION IN JOINT VENTURES AND SIMILAR ARRANGEMENTS TO ENSURE THAT SUCH ENTITIES OPERATE IN ACCORDANCE WITH APPLICABLE IRS POLICIES AND WITHIN UPMC'S CHARITABLE PURPOSES.

SECTION C, LINE 19

UPMC'S PUBLIC WEBSITE (WWW.UPMC.COM) MAKES ITS FINANCIAL RESULTS, CONFLICT OF INTEREST PROCESS, AND VARIOUS INFORMATION ABOUT GOVERNANCE AND OVERSIGHT AVAILABLE TO THE PUBLIC. ADDITIONAL INFORMATION MAY BE SUPPLIED UPON SPECIFIC REQUEST FOR DATA NOT POSTED TO THE WEB SITE.

PART VII COMPENSATION OF OFFICERS, TRUSTEES, KEY EMPLOYEES

SECTION A AND SECTION B SECTION A PURSUANT TO TREASURY REGULATION

SECTION 1.6033-2(D)(5), UPMC HAS ELECTED TO REPORT COMPENSATION AND

SCHEDULE J OTHER INFORMATION ABOUT OFFICERS, DIRECTORS, TRUSTEES, KEY

EMPLOYEES AND CERTAIN OTHER HIGHLY PAID EMPLOYEES ON A CONSOLIDATED BASIS

FOR ALL OF THE MEMBERS OF THE UPMC GROUP, INCLUDING THIS PARENT

ORGANIZATION WHICH IS THE SPONSOR OR CENTRAL ORGANIZATION OF THE GROUP,

ON THE RETURN OF UPMC GROUP, EIN 20-8295721.

SECTION B PURSUANT TO TREASURY REGULATION SECTION 1.6033-2(D)(5), UPMC

HAS ELECTED TO REPORT CERTAIN PROFESSIONAL CONTRACTORS AND CERTAIN OTHER

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CONTRACTORS ON A CONSOLIDATED BASIS FOR ALL OF THE MEMBERS OF THE UPMC GROUP, INCLUDING THIS PARENT ORGANIZATION WHICH IS THE SPONSOR OR CENTRAL ORGANIZATION OF THE GROUP, ON THE RETURN OF UPMC GROUP, EIN 20-8295721.

PART VIII STATEMENT OF REVENUE

LINE 1 - CONTRIBUTIONS AND GRANTS: PURSUANT TO TREASURY REGULATION

SECTION 1.6033-2(D)(5), UPMC HAS ELECTED TO REPORT INFORMATION RELATED TO ITS CONTRIBUTIONS AND GRANTS RECEIVED ON A CONSOLIDATED BASIS FOR ALL OF THE MEMBERS OF THE UPMC GROUP, INCLUDING THIS PARENT ORGANIZATION, ON THE RETURN OF UPMC GROUP, EIN 20-8295721.

PART XI RECONCILIATION OF NET ASSETS

LINE 9 OTHER CHANGES IN NET ASSETS OR FUND BALANCES

DIVIDENDS RECEIVED	107,500,000
OTHER CHANGES TO FUND BALANCE	34,224,615
PENSIONS AND POST RETIREMENT BENEFITS	(69,440,000)
NET TRANSFERS TO EXEMPT SUBSIDIARIES	(1,138,570,601)
TOTAL OTHER CHANGES IN NET ASSETS OR FUND BALANCES	(1,066,285,986)

PART XII FINANCIAL STATEMENTS AND REPORTING

QUESTION 2B : THE ORGANIZATION'S FINANCIAL STATEMENTS ARE PART OF A CONSOLIDATED FINANCIAL STATEMENT AUDIT PERFORMED BY EY FOR UPMC AND ALL SUBSIDIARIES. THE ENTIRE SYSTEM'S FINANCIAL STATEMENTS, OF WHICH THIS ORGANIZATION IS PART OF, ARE POSTED ON THE UPMC WEBSITE. (WWW.UPMC.COM) THE FINANCIAL STATEMENT AUDIT DURING THE 990 FILING PERIOD IS FOR THE

Name of the organization

UPMC

Employer identification number

25-1423657

CALENDAR YEAR ENDED DECEMBER 31, 2020.

QUESTION 2C

UPMC HAS AN AUDIT COMMITTEE THAT IS ESTABLISHED TO ASSIST THE BOARD OF DIRECTORS IN FULFILLING ITS OVERSIGHT RESPONSIBILITIES BY MONITORING UPMC CONSOLIDATED FINANCIAL REPORTS AND OTHER FINANCIAL INFORMATION PROVIDED BY UPMC TO GOVERNMENTAL BODIES, THE PUBLIC OR OTHER EXTERNAL ENTITIES. THE UPMC'S SYSTEM OF INTERNAL CONTRCLS REGARDING FINANCE, ACCOUNTING, LEGAL COMPLIANCE AND ETHICS THAT MANAGEMENT AND THE BOARD HAVE ESTABLISHED AND UPMC'S INTERNAL AUDITING, ACCOUNTING AND FINANCIAL REPORTING PROCESSES ALSO PROVIDED OVERSIGHT.

ATTACHMENT 1FORM 990, PART V, LINE 4B - FOREIGN COUNTRIES

CAYMAN ISLANDS

CHINA

IRELAND

ITALY

ATTACHMENT 2FORM 990, PART X - INVESTMENTS - PUBLICLY TRADED SECURITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
PUBLICLY TRADED SECURITIES	1,828,493,022.	2,893,095,399.
TOTALS	<u>1,828,493,022.</u>	<u>2,893,095,399.</u>

**SCHEDULE R
(Form 990)****Related Organizations and Unrelated Partnerships**

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

Name of the organization

UPMC

Employer identification number

25-1423657

OMB No. 1545-0047
2020

Open to Public
Inspection

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) UPMC PRIMARY CARE ACO, LLC 600 GRANT STREET PITTSBURGH, PA 15219 83-0773768	ACCT CARE ORG	PA	0.	0.	UPMC
(2)					
(3)					
(4)					
(5)					
(6)					

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) UPMC SENIOR COMMUNITIES, INC. 600 GRANT STREET PITTSBURGH, PA 15219 25-1574736	SR LIVING	PA	501 (C) (3)	10	UPMC	X	
(2) PITTSBURGH LIFETIME CARE COMMUNITY 600 GRANT STREET PITTSBURGH, PA 15219 25-1335247	CCRC	PA	501 (C) (3)	10	UPMC SENIOR	X	
(3) CANTERBURY PLACE 600 GRANT STREET PITTSBURGH, PA 15219 25-0965334	SR LIVING	PA	501 (C) (3)	10	UPMC SENIOR	X	
(4) SENECA PLACE 600 GRANT STREET PITTSBURGH, PA 15219 72-1562844	SR LIVING	PA	501 (C) (3)	10	UPMC SENIOR	X	
(5) SHADYSIDE HOSPITAL SUPPORTING FOUNDATION 600 GRANT STREET PITTSBURGH, PA 15219 26-0303394	FOUNDATION	PA	501 (C) (3)	12 (A) I	N/A		X
(6) UPMC LEE 600 GRANT STREET PITTSBURGH, PA 15219 25-0613830	INACTIVE	PA	501 (C) (3)	3	UPMC	X	
(7) UNIVERSITY OF PITTSBURGH 4200 FIFTH AVENUE PITTSBURGH, PA 15260 25-0965591	UNIVERSITY	PA	501 (C) (3)	2	N/A		X

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2020

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Department of the Treasury
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Employer identification number

25-1423657

OMB No. 1545-0047
2020

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Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

	(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?
							Yes No
(1)	PITTSBURGH CARE PARTNERSHIP, INC. 2400 ARDMORE BOULEVARD PITTSBURGH, PA 15221	HEALTHCARE	PA	501 (C) (3)	10	UPMC	X
(2)	UPMC CENTER FOR HIGH VALUE HEALTHCARE 600 GRANT STREET PITTSBURGH, PA 15219	RESEARCH	PA	501 (C) (3)	7	UPMC	X
(3)	GREAT LAKES PHYSICIAN PRACTICE, P.C. 600 GRANT STREET PITTSBURGH, PA 15219	PHYSICIANS	NY	501 (C) (3)	3	REGNL HEALTH	X
(4)	UPMC/JAMESON CANCER CENTER 600 GRANT STREET PITTSBURGH, PA 15219	ONCOLOGY SVC	PA	501 (C) (3)	3	UPMC JAMESON	X
(5)	JAMESON CARE CENTER, INC. 1221 WILMINGTON AVENUE NEW CASTLE, PA 16105	HEALTHCARE	PA	501 (C) (3)	10	UPMC SENIOR	X
(6)	*LAUREL REALTY, INC. 32-36 CENTRAL AVENUE WELLSBORO, PA 16901	RENTAL REAL E	PA	501 (C) (2)	N/A	UPMC SUSQUEH	X
(7)	*THE GREEN HOME 37 CENTRAL AVENUE WELLSBORO, PA 16901	SKILLED NURSI	PA	501 (C) (3)	10	UPMC SUSQUEH	X

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Schedule R (Form 990) 2020

**SCHEDULE R
(Form 990)****Related Organizations and Unrelated Partnerships**

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Department of the Treasury
Internal Revenue Service
Name of the organization

UPMC

Employer identification number
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OMB No. 1545-0047
2020

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Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

	(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
							Yes	No
(1)	*WILLIAMSPORT AREA AMBULANCE SERVICE 700 HIGH STREET WILLIAMSPORT, PA 17701	AMBULANCE SVC	PA	501 (C) (3)	10	UPMC WILLIAM	X	
(2)	*UPMC CHAUTAUQUA AT WCA 207 FOOTE AVENUE JAMESTOWN, NY 14701	HOSPITAL	NY	501 (C) (3)	3	UPMC CHAUTAU	X	
(3)	*W.C.A. GROUP, INC. 207 FOOTE AVENUE JAMESTOWN, NY 14701	HOLDING CO	NY	501 (C) (3)	12 (B) (II)	CHAUT AT WCA	X	
(4)	*STARFLIGHT, INC. 135 ALLEN STREET JAMESTOWN, NY 14701	STAT MEDVAC	NY	501 (C) (3)	7	CHAUT AT WCA	X	
(5)	SOUTH CENTRAL ALPHA HOUSING & HEALTHCARE 3410 PITTSBURG ROAD NEW CASTLE, PA 16101	SKILLED NURSI	PA	501 (C) (3)	10	UPMC SR COMM	X	
(6)	SOUTH WESTERN ALPHA HOUSING & HEALTHCARE 745 GREENVILLE ROAD MERCER, PA 16137	SKILLED NURSI	PA	501 (C) (3)	10	UPMC SR COMM	X	
(7)	*KANE COMMUNITY HOSPITAL FOUNDATION 4372 ROUTE 6 KANE, PA 16735	FOUNDATION	PA	501 (C) (3)	12 (B) (II)	N/A		X

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Schedule R (Form 990) 2020

**SCHEDULE R
(Form 990)****Related Organizations and Unrelated Partnerships**

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Department of the Treasury
Internal Revenue Service

Name of the organization

UPMC

Employer identification number

25-1423657

OMB No. 1545-0047
2020

Open to Public
Inspection

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

	(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?
							Yes No
(1)	JUNIOR GUILD OF THE JAMESON MEMORIAL HOS 1211 WILMINGTON AVENUE NEW CASTLE, PA 16105	SUPPORT	PA	501 (C) (3)	12 (D) (III)	N/A	X
(2)	*LAUREL HEALTH FOUNDATION 32-36 CENTRAL AVENUE WELLSBORO, PA 16901	FOUNDATION	PA	501 (C) (3)	12 (B) (11)	N/A	X
(3)	W.C.A. FOUNDATION, INC. 300 FOOTE AVENUE, P.O. BOX 840 JAMESTOWN, NY 14702	FOUNDATION	PA	501 (C) (3)	12 (C) (III)	N/A	X
(4)	VENANGO V.N.A. FOUNDATION 491 ALLEGHENY BOULEVARD FRANKLIN, PA 16323	FOUNDATION	PA	501 (C) (3)	12 (D) (III)	N/A	X
(5)	MAGEE-WOMENS RES INST AND FOUNDATION 600 GRANT STREET PITTSBURGH, PA 15219	FOUNDATION	PA	501 (C) (3)	7	N/A	X
(6)	*UPMC CARLISLE 361 ALEXANDER SPRING CARLISLE, PA 17105	HOSPITAL	PA	501 (C) (3)	3	UPMC PINNACL	X
(7)	*UPMC LANCASTER 250 COLLEGE AVENUE LANCASTER, PA 17603	HOSPITAL	PA	501 (C) (3)	3	UPMC PINNACL	X

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Schedule R (Form 990) 2020

SCHEDULE R
(Form 990)**Related Organizations and Unrelated Partnerships**

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Department of the Treasury
Internal Revenue Service

Name of the organization

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Employer identification number

UPMC

25-1423657

OMB No. 1545-0047
2020Open to Public
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	(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
							Yes	No
(1)	*UPMC LITITZ 1500 HIGHLANDS AVENUE LITITZ, PA 17543	HOSPITAL	PA	501 (C) (3)	3	UPMC PINNACL	X	
(2)	*UPMC MEMORIAL 325 SOUTH BELMONT STREET YORK, PA 17405	HOSPITAL	PA	501 (C) (3)	3	UPMC PINNACL	X	
(3)	*PINNACLE HEALTH REGIONAL PHYSICIANS 409 SOUTH SECOND STREET HARRISBURG, PA 17104	PHYSICIAN SRV	PA	501 (C) (3)	3	UPMC PINNACL	X	
(4)	*PINNACLE HEALTH FOUNDATION 409 SOUTH SECOND STREET HARRISBURG, PA 17104	FOUNDATION	PA	501 (C) (3)	12 (B) II	UPMC PINNACL	X	
(5)	*COMMUNITY LIFE TEAM, INC. 409 SOUTH SECOND STREET HARRISBURG, PA 17104	MED TRANSPORT	PA	501 (C) (3)	7	UPMC PINNACL	X	
(6)	*HANOVER HEALTHCARE PLUS, INC. 300 HIGHLAND AVENUE HANOVER, PA 17331	SUPPORTING OR	PA	501 (C) (3)	12 (A) I	UPMC PINNACL	X	
(7)	*UPMC HANOVER 300 HIGHLAND AVENUE HANOVER, PA 17331	HOSPITAL	PA	501 (C) (3)	3	HANOVER HLTH	X	

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Schedule R (Form 990) 2020

SCHEDULE R
(Form 990)**Related Organizations and Unrelated Partnerships**

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25-1423657

OMB No. 1545-0047

2020Open to Public
Inspection**Part I Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

	(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
							Yes	No
(1)	COLE FOUNDATION, INC. 1001 EAST SECOND STREET CCUDERSPORT, PA 16915	FOUNDATION	PA	501 (C) (3)	12 (A) (I)	C COLE MEM H	X	
(2)	HAMOT COLE VENTURES 1001 EAST SECOND STREET CCUDERSPORT, PA 16915	CLINIC SITES	PA	501 (C) (3)	12 (A) (I)	C COLE MEM H	X	
(3)	HENDORN, INC. 1001 EAST SECOND STREET CCUDERSPORT, PA 16915	RES CARE	PA	501 (C) (3)	12 (A) (I)	C COLE MEM H	X	
(4)	ASBURY HEIGHTS OF UPMC 600 GRANT STREET PITTSBURGH, PA 15219	SUPPORTING ORG	PA	501 (C) (3)	12 (B) (II)	UPMC SR COMM	X	
(5)	ASBURY HEALTH CENTER 600 GRANT STREET PITTSBURGH, PA 15219	CCRC	PA	501 (C) (3)	10	ASBURY HEIGHT	X	
(6)	ASBURY VILLAS 600 GRANT STREET PITTSBURGH, PA 15219	PERSONAL CARE	PA	501 (C) (3)	10	ASBURY HEIGHT	X	
(7)	ASBURY PLACE 600 GRANT STREET PITTSBURGH, PA 15219	PERSONAL CARE	PA	501 (C) (3)	10	ASBURY HEIGHT	X	

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Schedule R (Form 990) 2020

**SCHEDULE R
(Form 990)****Related Organizations and Unrelated Partnerships**

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Department of the Treasury
Internal Revenue Service

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UPMC

Employer identification number

25-1423657

OMB No. 1545-0047

2020

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Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(1)	(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?
							Yes No
(1)	WESLEY HILLS 600 GRANT STREET PITTSBURGH, PA 15219	INDEP LIVING	PA	501 (C) (3)	10	ASBURY HEIGH	X
(2)	ASBURY FOUNDATION 600 GRANT STREET PITTSBURGH, PA 15219	FOUNDATION	PA	501 (C) (3)	7	ASBURY HEIGH	X
(3)	*UPMC PINNACLE HOSPITALS 409 SOUTH SECOND STREET HARRISBURG, PA 17104	HOSPITAL	PA	501 (C) (3)	3	UPMC PINNACL	X
(4)	*PINNACLE HEALTH MEDICAL SERVICES 409 SOUTH SECOND STREET HARRISBURG, PA 17104	PHYSICIAN SRV	PA	501 (C) (3)	3	UPMC PINNACL	X
(5)	*UPMC PINNACLE 409 SOUTH SECOND STREET HARRISBURG, PA 17104	SUPPORTING OR	PA	501 (C) (3)	12 (B) 11	UPMC	X
(6)	CHARLES COLE MEMORIAL HOSPITAL 1001 EAST SECOND STREET CCUDERSPORT, PA 16915	HOSPITAL	PA	501 (C) (3)	3	UPMC	X
(7)	UPMC SOMERSET 225 SOUTH CENTER AVENUE SCMERSET, PA 15501	HOSPITAL	PA	501 (C) (3)	3	UPMC	X

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Schedule R (Form 990) 2020

**SCHEDULE R
(Form 990)****Related Organizations and Unrelated Partnerships**

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Internal Revenue Service

Name of the organization

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Employer identification number

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2020

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	(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?
							Yes No
(1)	TWIN LAKES CENTER, INC. 225 SOUTH CENTER AVENUE SCMERSET, PA 15501	DRUG TREATMEN	PA	501 (C) (3)	3	UPMC SOMERSE	X
(2)	SOMERSET COMMUNITY HOSPITAL FOUNDATION 225 SOUTH CENTER AVENUE SCMERSET, PA 15501	FOUNDATION	PA	501 (C) (3)	12 (C) III	UPMC SOMERSE	X
(3)	SOMERSET HEALTH SERVICES, INC. 225 SOUTH CENTER AVENUE SCMERSET, PA 15501	PHYSICIAN SRV	PA	501 (C) (3)	3	UPMC SOMERSE	X
(4)	SHADYSIDE HOSPITAL FOUNDATION 532 SOUTH AIKEN AVENUE PITTSBURGH, PA 15232	FOUNDATION	PA	501 (C) (3)	12 (C) III	UPMC PRESBY	X
(5)	*UPMC WESTERN MARYLAND CORPORATION PO BOX 539 CUMBERLAND, MD 21501	HOSPITAL	MD	501 (C) (3)	3	UPMC	X
(6)	*WESTERN MARYLAND HEALTH SYSTEM FOUNDATI PO BOX 539 CUMBERLAND, MD 21501	FOUNDATION	MD	501 (C) (3)	3	UPMC	X
(7)	*HANOVER HOSPITAL FOUNDATION 300 HIGHLAND AVENUE HANOVER, PA 17331	FOUNDATION	PA	501 (C) (3)	12 (C) III	UPMC PINNACL	X

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2020

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) SENECA HILLS ASSISTED LIVING, 600 GRANT STREET PITTSBURGH, PA 15219	ASST LIVING	PA	UPMC SR COMMUNI	RELATED	-82,463.	7,236,902.		X	0.	X		100.0000
(2) ST. MARGARET MEDICAL ARTS ASSO 600 GRANT STREET PITTSBURGH, PA 15219	MOB	PA	UPMC SR COMMUNI	RELATED	218,878.	4,256,119.		X	0.	X		100.0000
(3) CORE NETWORK, LLC 25-1786209 600 GRANT STREET PITTSBURGH, PA 15219	PHYSICAL THER	PA	UPMC COMM PROVI	RELATED	2,528,991.	17,298,878.		X	0.	X		100.0000
(4) SHADYSIDE MEDICAL CENTER ASSOC 600 GRANT STREET PITTSBURGH, PA 15219	MOB	PA	MEDICAL CTR PRO	RELATED	1,415,295.	22,933,087.		X	0.	X		100.0000
(5) CHARTWELL, PA LP 25-1729714 600 GRANT STREET PITTSBURGH, PA 15219	HOME THERAPY	PA	UPMC COMM PROV	RELATED	37,467,987.	133,083,701.	X		0.	X		87.4200
(6) HAMOT-KCH REAL ESTATE VENTURE, 300 STATE STREET ERIE, PA 1650	MEDICAL OFFIC	PA	UPMC HAMOT	RELATED	-11,098.	383,755.		X	0.	X		100.0000
(7) HAMOT SURGERY CENTER, LLC 25-1 200 STATE STREET ERIE, PA 1650	AMBULATORY SU	PA	UPMC HAMOT	RELATED	896,658.	5,361,294.	X		0.	X		53.5700

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1) H.C. PHARMACY CENTRAL, INC. 600 GRANT STREET PITTSBURGH, PA 15219	PHARMACY CO O	PA	VARIOUS	C	3,636,831.	216,997.	76.4700	X	
(2) CHILDREN'S COMMUNITY CARE 600 GRANT STREET PITTSBURGH, PA 15219	PEDIATRIC SVC	PA	UPMC HOLDING CO	C	129,449,287.	22,106,557.	100.0000	X	
(3) UPMC GLOBAL OPERATIONS CENTER LTD 6TH FLOOR BEACON HOSPITAL SANDYFORD, EI DUBLIN 18	CANCER TREATM	EI	UPMC IREL LTD	C	1,418.	3,947,197.	100.0000	X	
(4) UPMC PHYSICIAN SERVICES HOLDING CO., INC 600 GRANT STREET PITTSBURGH, PA 15219	HOLDING CO	PA	UPMC	C	0.	287,468,054.	100.0000	X	
(5) HEMATOLOGY ONCOLOGY ASSOC 600 GRANT STREET PITTSBURGH, PA 15219	HEALTHCARE	PA	PHY SER HOLDING C	C	1,159,108.	11,911,220.	100.0000	X	
(6) ONCOLOGY HEMATOLOGY ASSOC 600 GRANT STREET PITTSBURGH, PA 15219	HEALTHCARE	PA	PHY SER HOLDING C	C	22,689,770.	10,873,028.	100.0000	X	
(7) TRI-STATE NEUROSURGICAL ASSOC-UPMC INC 600 GRANT STREET PITTSBURGH, PA 15219	HEALTHCARE	PA	PHY SER HOLDING C	C	4,205,766.	6,434,652.	100.0000	X	

Schedule R (Form 990) 2020

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) LIFE CARE HOME SERVICES OF NW 1647 SASSAFRAS STREET ERIE, PA 600 GRANT STREET PITTSBURGH, PA 15219	HOME HEALTH S	PA	UPMC HAMOT	RELATED	6,274,915.	21,470,634.		X	0.	X		100.0000
(2) EPN-HAMOT URGENT CARE, LLC 27- 600 GRANT STREET PITTSBURGH, PA	URGENT CARE	PA	VARIOUS	RELATED	-126,308.	3,609,031.		X	0.	X		100.0000
(3) *MEDCARE SUSQUEHANNA VALLEY, L 409 SOUTH SECOND STREET HARRIS 2525 LIBERTY AVENUE PITTSBURGH	DME	PA	PINNACLE HEALTH	RELATED	-23,930.	2,018,962.		X	0.	X		75.0000
(4) OMICEL RE I, LP 47-5603393 2525 LIBERTY AVENUE PITTSBURGH	REAL ESTATE D	DE	UPMC FOR YOU	RELATED	-1,581,288.	8,419,515.		X	0.	X		97.6801
(5) *UPMC LEADER SURGERY CENTER, L 1703 INNOVATION DRIVE YORK, PA	SURGERY CENTE	PA	UPMC MEMORIAL	RELATED	-1,262,722.	8,580,058.		X	0.	X		66.6700
(6) BAYFRONT PROFESSIONAL BUILDING 3645 WEST LAKE ROAD ERIE, PA 1	REAL ESTATE	PA	UPMC HAMOT	RELATED	37,293.	852,876.		X	0.	X		50.7177
(7) CHAUTAUQUA INTEGRATED DELIVERY 200 HARRISON STREET, 2ND FLOOR	INTEGRATED DELIVE	NY	UPMC CHAUTAUQUA	RELATED	1,003,379.	1,116,230.		X	0.	X		50.5483

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1) RENAISSANCE FAMILY PRACTICE-UPMC INC 600 GRANT STREET PITTSBURGH, PA 15219	HEALTHCARE	PA	PHY SER HOLDING C		18,510,620.	4,213,751.	100.0000	X	
(2) UPMC HOLDING COMPANY, INC. 600 GRANT STREET PITTSBURGH, PA 15219	HOLDING CORP	PA	UPMC	C	49,528,054.	661,355,792.	100.0000	X	
(3) UPMC COVERAGE PRODUCTS, INC 600 GRANT STREET PITTSBURGH, PA 15219	HOLDING CORP	PA	UPMC HOLDING CO C		0.	376,255,236.	100.0000	X	
(4) FREEDOM INSURANCE COMPANY 600 GRANT STREET PITTSBURGH, PA 15219	INSURANCE	VT	UPMC COV PRODS C		452,652.	1,554,166.	100.0000	X	
(5) TRI-CENTURY INSURANCE CO 600 GRANT STREET PITTSBURGH, PA 15219	INSURANCE	PA	UPMC COV PRODS C		1,959,229.	29,591,091.	100.0000	X	
(6) UPMC DNA INC. 600 GRANT STREET PITTSBURGH, PA 15219	INSURANCE	PA	UPMC COV PRODS C		0.	0.	100.0000	X	
(7) UPMC HEALTH BENEFITS, INC. 600 GRANT STREET PITTSBURGH, PA 15219	HEALTH INSURA	PA	UPMC COV PRODS C		234,489,098.	350,796,871.	100.0000	X	

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Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) *HANOVER SURGICENTER REAL ESTA 300 HIGHLAND AVE HANOVER, PA 1	INACTIVE	PA	HANOVER HEALTH	RELATED	0.	0.		X	0.	X		54.0000
(2)												
(3)												
(4)												
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Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1) UPMC HEALTH NETWORK, INC. 600 GRANT STREET PITTSBURGH, PA 15219	HEALTH INSURA	PA	UPMC COV PRODS	C	105,978,127.	431,136,281.	100.0000	X	
(2) UPMC HEALTH PLAN, INC. 600 GRANT STREET PITTSBURGH, PA 15219	HEALTH INSURA	PA	UPMC COV PRODS	C	1,839,694,116.	881,065,158.	100.0000	X	
(3) UPMC BENEFIT MANAGEMENT SERVICES, INC. 600 GRANT STREET PITTSBURGH, PA 15219	WORKER'S COMP	PA	UPMC COV PRODS	C	134,492,833.	172,848,303.	100.0000	X	
(4) UPMC DIVERSIFIED SERVICES, INC. 600 GRANT STREET PITTSBURGH, PA 15219	HOLDING CORP	PA	UPMC HOLDING CO	C	30,096,181.	89,686,642.	100.0000	X	
(5) MONROEVILLE SPECIALTY CLINIC 600 GRANT STREET PITTSBURGH, PA 15219	HEALTHCARE	PA	UPMC HOLDING CO	C	343,575.	9,500,950.	100.0000	X	
(6) MEDICAL ARCHIVAL SYSTEMS 600 GRANT STREET PITTSBURGH, PA 15219	SOFTWARE DEV&	DE	UPMC HOLDING CO	C	587,774.	0.	90.1600	X	
(7) BIOTRONICS, INC. 600 GRANT STREET PITTSBURGH, PA 15219	EQUIP MAINTEN	PA	UPMC DIVERSIFIED	C	9,047,085.	2,741,983.	100.0000	X	

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Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
								Yes	No		Yes	No	
(1)													
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	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
									Yes	No
(1)	MEDICAL CENTER PROPERTIES, INC. 600 GRANT STREET PITTSBURGH, PA 15219 25-1796940	REAL ESTATE	PA	UPMC HOLDING CO	C	1,605,934.	23,380,361.	100.0000	X	
(2)	RX PARTNERS, INC. 600 GRANT STREET PITTSBURGH, PA 15219 25-1801966	RETAIL SERVICE	PA	UPMC DIVERSIFIED	C	15,025,725.	9,332,291.	100.0000	X	
(3)	ASKESIS DEVELOPMENT GROUP, INC. 600 GRANT STREET PITTSBURGH, PA 15219 54-1625585	SOFTWARE DEVELOPMENT	DE	UPMC	C	3,185,830.	2,112,251.	70.0000	X	
(4)	PANTHER REINSURANCE COMPANY, LTD P.O. BOX 1109 GRAND CAYMAN, CAYMAN ISLANDS CJ 98-1402742	INSURANCE	CJ	CATHEDRAL (RE)	C	11,555,293.	40,017,877.	100.0000	X	
(5)	FORBES REINSURANCE COMPANY LTD. P.O. BOX 1109 GRAND CAYMAN, CAYMAN ISLANDS CJ 98-1400710	INSURANCE	CJ	UPMC	C	75,783,665.	298,866,093.	100.0000	X	
(6)	CATHEDRAL (RE) INSURANCE COMPANY LTD. P.O. BOX 1109 GRAND CAYMAN, CAYMAN ISLANDS CJ 98-1400837	INSURANCE	CJ	FORBES REINSURANCE	C	87,842,115.	298,670,859.	100.0000	X	
(7)	UPMC IRELAND LIMITED 6TH FLOOR BEACON HOSPITAL SANDYFORD, EI DUBLIN 18	HEALTHCARE SERVICES	EI	UPMC INTERNATIONAL HOLDINGS	C	1,647,104.	23,885,442.	100.0000	X	

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(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
								Yes	No		Yes	No	
(1)													
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Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
									Yes	No
(1)	UPMC UNITED KINGDOM, LTD C/O NAIR&CO 11TH FLOOR WHITEFRIARS LEWINS MEAD, BRISTOL U	SOFTWARE LICE	UK	UPMC INT'L HOLD C		0.	0.	100.0000	X	
(2)	BAYFRONT REGIONAL DEVELOPMENT CORP 300 STATE STREET, SUITE 100 ERIE, PA 16507	RE HOLDINGS C	PA	UPMC HOLDING CO C		316,383.	26,773,238.	100.0000	X	
(3)	BAYSIDE DEVELOPMENT CORP 300 STATE STREET, SUITE 100 ERIE, PA 16507	REAL ESTATE/P	PA	BAYFRONT REG DE C		3,985,666.	21,232,362.	100.0000	X	
(4)	UPMC WORK ALLIANCE, INC. 600 GRANT STREET PITTSBURGH, PA 15219	INSURANCE	PA	UPMC COV PRODS C		23,786.	4,327,598.	100.0000	X	
(5)	UPMC CANADA TECHNOLOGIES LIMITED 600 GRANT STREET PITTSBURGH, PA 15219	SOFTWARE	CA	UPMC INT'L HOLD C		0.	2,916,843.	100.0000	X	
(6)	UPMC HEALTH COVERAGE, INC. 600 GRANT STREET PITTSBURGH, PA 15219	INSURANCE	PA	UPMC COV PRODS C		39,175,360.	25,553,599.	100.0000	X	
(7)	UPMC HEALTH OPTIONS, INC. 600 GRANT STREET PITTSBURGH, PA 15219	INSURANCE	PA	UPMC HEALTH NET C		2,463,548,914.	641,366,503.	100.0000	X	

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								Yes	No		Yes	No	
(1)													
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Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
									Yes	No
(1)	UPMC COMPLETE CARE, INC. 5215 CENTRE AVENUE PITTSBURGH, PA 15232	HEALTHCARE	PA	PHY SER HOLDING C		872,364.	162,682.	100.0000	X	
(2)	HEALTH FIDELITY, INC. 600 GRANT STREET PITTSBURGH, PA 15219	SOFTWARE DEVE	CA	UPMC	C	12,991,710.	6,091,939.	91.8000	X	
(3)	AMERICAN HOME HEALTH SERVICES 868 CORPORATE WAY WESTLAKE, OH 44145	HOME HEALTH	OH	QUALITY FIRST	C	0.	0.	100.0000	X	
(4)	CURAVI HEALTH, INC. 6425 PENN AVENUE PITTSBURGH, PA 15206	HEALTHCARE	DE	UPMC	C	837,271.	8,684,356.	100.0000	X	
(5)	PENSTAMO, INC. 600 GRANT STREET, 59TH FLOOR PITTSBURGH, PA 15219	SUPPLY CHAIN	DE	UPMC	C	825,438.	0.	100.0000	X	
(6)	ALTOONA FAMILY, INC. 620 HOWARD AVENUE ALTOONA, PA 16601	MGMT SVCS	PA	UPMC ALTOONA	C	0.	5,931.	100.0000	X	
(7)	LEXINGTON HOLDINGS, INC. 620 HOWARD AVENUE ALTOONA, PA 16601	MEDICAL SVCS	PA	CTRL PA MED FND C		0.	170,848,391.	100.0000	X	

Schedule R (Form 990) 2020

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(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
								Yes	No		Yes	No	
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Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
									Yes	No
(1)	LEXINGTON ONE, INC. 620 HOWARD AVENUE ALTOONA, PA 16601	RENTAL	PA	LEXINGTON HOLD	C	1,869,943.	8,733,707.	100.0000	X	
(2)	LEXINGTON TWO, INC. HOWARD AVE & 7TH STREET ALTOONA, PA 16601	RENTAL EQUIPM	PA	LEXINGTON HOLD	C	0.	55,090.	100.0000	X	
(3)	LEXINGTON FOUR, INC. 620 HOWARD AVENUE ALTOONA, PA 16601	HOLDING CO	PA	LEXINGTON HOLD	C	2,000,000.	181,474,794.	100.0000	X	
(4)	UPMC ALTOONA REGIONAL HEALTH SERVICES 1414 9TH AVENUE ALTOONA, PA 16602	MEDICAL SVCS	PA	LEXINGTON FOUR	C	65,807,193.	10,056,206.	100.0000	X	
(5)	LEXINGTON ANESTHESIA ASSOCIATES, INC. 620 HOWARD AVENUE ALTOONA, PA 16601	MEDICAL SVCS	PA	LEXINGTON FOUR	C	2,416,521.	7,370,177.	100.0000	X	
(6)	UPMC EXCESS PL TR 600 GRANT STREET PITTSBURGH, PA 15219	TRUST	PA	UPMC	TRUST	1,396.	17,898,151.	100.0000	X	
(7)	RXANTE, INC. 511 CONGRESS STREET #803 PORTLAND, ME 04101	MEDICATION MG	DE	UPMC	C	11,476,175.	36,316,907.	100.0000	X	

Schedule R (Form 990) 2020

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	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
								Yes	No		Yes	No	
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Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
									Yes	No
(1)	*SUSQUEHANNA HEALTH SYSTEM INSURANCE NET P.O. BOX 1159 CAYMAN ISLANDS, CJ 98-0458722	INSURANCE	CJ	UPMC SUSQUEHANNA C		2,018,166.	0.	100.0000	X	
(2)	*SUSQUEHANNA VENTURES, INC. 1201 GRAMPIAN BOULEVARD WILLIAMSPORT, PA 17701 23-2470623	PHARMACY	PA	UPMC SUSQUEHANNA C		2,534,401.	1,164,523.	100.0000	X	
(3)	*W.C.A. SERVICE CORPORATION, INC. 207 FOOTE AVENUE JAMESTOWN, NY 14701 16-1151438	SUPPORT	NY	UPMC CHAUTAUQUA C		5,823,040.	4,142,992.	100.0000	X	
(4)	*PINNACLE HEALTH CARDIOVASCULAR INSTIT 409 SOUTH SECOND STREET HARRISBURGH, PA 17104 32-0321362	PHYSICIAN SER	PA	UPMC PINNACLE C		32,352,039.	5,624,068.	100.0000	X	
(5)	*HANOVER HEALTH CORPORATION 300 HIGHLAND AVENUE HANOVER, PA 17331 90-0498067	HOLDING COMPA	PA	HANOVER HLTH PL C		91,004.	4,693,989.	100.0000	X	
(6)	*UNITED CENTRAL PA RECIPROCAL RISK RET 76 SAINT PAUL STREET, SUITE 50C BURLINGTON, VT 05401 13-4224033	INSURANCE	VT	UPMC PINNACLE C		9,800,880.	31,792,131.	100.0000	X	
(7)	*HANOVER APOTHECARY, INC. 310 STOCK STREET, SUITE 1 HANOVER, PA 17331 03-0594526	PHARMACY	PA	HANOVER HLTH CO C		757,212.	3,871,780.	100.0000	X	

Schedule R (Form 990) 2020

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	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
								Yes	No		Yes	No	
(1)													
(2)													
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(6)													
(7)													

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
									Yes	No
(1)	*PINNACLE HEALTH VENTURES, INC. 409 SOUTH SECOND STREET HARRISBURG, PA 17104 611677624	HOLDING COMPA	PA	UPMC PINNACLE	C	7,261,647.	12,674,661.	100.0000	X	
(2)	*PINNACLE HEALTH IMAGING INC. 409 SOUTH SECOND STREET HARRISBURGH, PA 17104 23-1718571	IMAGING SERVI	PA	PINNACLE HLTH V C	C	0.	2,827,404.	100.0000	X	
(3)	*COLE CARE INC. 1001 EAST 2ND STREET COUDERSPORT, PA 16915 25-1497347	DME	PA	CHARL COLE HOSP C	C	2,337,633.	528,852.	100.0000	X	
(4)	UPMC ITALY HEALTH SERVICES S.R.L. VIA DISCESA DEI GIUDICI, 4 PALERMO, IT 90133	HEALTH SVC	IT	UPMC ITALY SRL C	C	172,381.	943,341.	100.0000	X	
(5)	UPMC INVESTMENTS, LTD. C/O UPMC WHITEFIELD CORK ROAD BUTLER WATERFORD, EI X91 DH	HOLDING CO	EI	UPMC IRELAND LT C	C	0.	57,894,955.	100.0000	X	
(6)	UPMC PROPERTY LTD. C/O UPMC WHITEFIELD CORK ROAD BUTLER WATERFORD, EI X91 DH	PROPERTY	EI	UPMC INVEST LTD C	C	0.	17,697,679.	100.0000	X	
(7)	EURO CARE INFRASTRUCTURE LTD. C/O UPMC WHITEFIELD CORK ROAD BUTLER WATERFORD, EI X91 DH	PROPERTY MGMT	EI	UPMC INVEST LTD C	C	687,638.	1,871,473.	100.0000	X	

Schedule R (Form 990) 2020

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1) EURO CARE PROPERTY MANAGEMENT LTD. C/O UPMC WHITFIELD CORK ROAD BUTLER WATERFORD, EI X91 DH	PROPERTY MGMT	EI	UPMC INVEST LTD C		0.	40,323.	100.0000	X	
(2) UPMC WHITFIELD HOSPITAL LTD C/O UPMC WHITFIELD CORK ROAD BUTLER WATERFORD, EI X91 DH	HOSPITAL	EI	UPMC INVEST LTD C		35,642,226.	42,651,769.	100.0000	X	
(3) BLUESPHERE BIO 6425 PENN AVENUE, SUITE 200 PITTSBURGH, PA 15206	IMMUNOTHERAPY	DE	UPMC	C	150,143.	37,021,690.	82.2000	X	
(4) INFECTIOUS DISEASE CONNECT, INC. 6425 PENN AVENUE, SUITE 200 PITTSBURGH, PA 15206	TELEMEDICINE	DE	UPMC	C	1,478,846.	6,964,185.	52.5000	X	
(5) HUMONIC, INC. 6425 PENN AVENUE, SUITE 200 PITTSBURGH, PA 15206	BIOPHARMACY	DE	UPMC	C	16,233.	0.	100.0000	X	
(6) NOVASENTA, INC. 6425 PENN AVENUE, SUITE 200 PITTSBURGH, PA 15206	IMMUNOTHERAPY	DE	UPMC	C	15,159.	5,917,381.	70.6000	X	
(7) UPMC HILLMAN CANCER CENTER - PINNACLE 101 ERFORD ROAD CAMP HILL, PA 17701	CANCER TREATM	PA	PINNACLE HLTH V C		0.	1,983,918.	100.0000	X	

Schedule R (Form 990) 2020

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
								Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
									Yes	No
(1)	SHANGHAI UPMC CO., LTD 298 SHIMEN 1ST ROAD JING'AN DISTRICT, SHANGHAI CH 200041	HEALTHCARE MG	CH	UPMC INT'L HOLD	C	8,291,393.	28,219,693.	100.0000	X	
(2)	SALVATOR MUNDI INTERNATIONAL HOSPITAL ROMA VIALE DELLA MURA, GIANICOLENSI 67/77 IT CAP 00	HOSPITAL	IT	UPMC ITALY SRL	C	16,150,699.	38,625,558.	100.0000	X	
(3)	SOMERSET ANESTHESIA, INC. 600 GRANT STREET PITTSBURGH, PA 15219	PHYSICIAN SRV	PA	UPMC SOMERSET	C	1,841,871.	26,021.	100.0000	X	
(4)	SOMERSET MANGEMENT SERVICES, INC 600 GRANT STREET PITTSBURGH, PA 15219	MOB OWNERSHIP	PA	SOMERSET HLTH S	C	417,447.	1,897,034.	100.0000	X	
(5)	GENERIAN PHARMACEUTICALS 2425 SIDNEY STREET PITTSBURGH, PA 15203	PHARMACY	DE	UPMC	C	23,800.	5,215,865.	72.1000	X	
(6)	WORK PARTNERS NATIONAL INC. 600 GRANT STREET PITTSBURGH, PA 15219	INSURANCE	DE	UPMC HEALTH BN	C	0.	1,500,000.	100.0000	X	
(7)	ASTRATA, INC. 6425 PENN AVENUE, SUITE 200 PITTSBURGH, PA 15206	SOFTWARE	DE	UPMC	C	0.	0.	96.3800	X	

Schedule R (Form 990) 2020

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
								Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(1)	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
									Yes	No
(1)	VEGA VECT 6425 PENN AVENUE, SUITE 200 PITTSBURGH, PA 15206 84-4280784	GENE THERAPY	DE	UPMC	C	0.	0.	0.100.0000	X	
(2)	NOVIMAB CORP 6425 PENN AVENUE, SUITE 200 PITTSBURGH, PA 15206 84-1494905	CLINICAL RESE	DE	UPMC	C	0.	8,682,826.	100.0000	X	
(3)	*HAYSTACK CONSOLIDATED SERVICES, INC. 12500 WILLOWBROOK ROAD CUMBERLAND, MD 21502 52-1335895	INACTIVE	PA	UPMC WEST MARYL	C	-398.	1,212,457.	100.0000		
(4)	*WESTERN MARYLAND INSURANCE COMPANY LTD P.O. BOX 10233, GRAND CAYMAN CJ 37-1538510	INSURANCE	CJ	UPMC WESTERN MA	C	2,017,101.	21,161,678.	100.0000	X	
(5)	*WILLOWBROOK HEALTHCARE CONDO 12401 WILLOWBROOK ROAD CUMBERLAND, MD 21502 83-3402761	REAL ESTATE	DE	UPMC WESTERN MA	C	141,262.	208,844.	53.3000	X	
(6)	RXANTE PHARMACY SERVICES, INC. 511 CONGRESS STREET #803 PORTLAND, ME 04101	PHARMACY	DE	RXANTE, INC.	C	-2,815,015.	1,822,306.	100.0000	X	
(7)	CLANE HOSPITAL DEVELOPMENT ASSOCIATES, C/O UPMC WHITFIELD, CORK ROAD BUTLERSTOWN NORTH, WATERFOR	MANAGEMENT	EI	UPMC IRELAND L C	L C	0.	16,605,763.	75.0000	X	

Schedule R (Form 990) 2020

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
								Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
									Yes	No
(1)	UPMC KILDARE HOSPITAL LTD PROSPEROUS ROAD CLANE COUNTY KILDARE, CLANE, EI W91 W535	HOSPITAL	EI	CLANE HOSPITAL	C	9,007,298.	22,396,618.	75.0000	X	
(2)	UPMC AUT EVEN HOSPITAL LTD FRESHFORD ROAD COUNTY KILKENNY, EI R95 D3760	HOSPITAL	EI	UPMC INVEST LTD	C	16,614,105.	28,627,982.	100.0000	X	
(3)	REALYZE INTELLIGENCE, INC. 6425 PENN AVENUE, STE 200 PITTSBURGH, PA 15206	SOFTWARE	DE	UPMC	C	0.	1,991,243.	66.7000	X	
(4)										
(5)										
(6)										
(7)										

Schedule R (Form 990) 2020

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	X	
b Gift, grant, or capital contribution to related organization(s)	X	
c Gift, grant, or capital contribution from related organization(s)		X
d Loans or loan guarantees to or for related organization(s)		X
e Loans or loan guarantees by related organization(s)	X	
f Dividends from related organization(s)	X	
g Sale of assets to related organization(s)		X
h Purchase of assets from related organization(s)		X
i Exchange of assets with related organization(s)		X
j Lease of facilities, equipment, or other assets to related organization(s)		X
k Lease of facilities, equipment, or other assets from related organization(s)		X
l Performance of services or membership or fundraising solicitations for related organization(s)		X
m Performance of services or membership or fundraising solicitations by related organization(s)		X
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)		X
o Sharing of paid employees with related organization(s)		X
p Reimbursement paid to related organization(s) for expenses	X	
q Reimbursement paid by related organization(s) for expenses	X	
r Other transfer of cash or property to related organization(s)	X	
s Other transfer of cash or property from related organization(s)		X
2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.		

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) UPMC ADVANCED PRACTICE PROVIDERS		A	25,926.	COST
(2) ASKESIS DEVELOPMENT GROUP, INC.		A	89,030.	COST
(3) CHARTWELL PA, LP		A	4,941.	COST
(4) UPMC CHILDREN'S HOSPITAL OF PITTSBURGH		A	10,955.	COST
(5) CHILDREN'S COMMUNITY CARE		A	170,895.	COST
(6) PITTSBURGH CARE PARTNERSHIP		A	4.	COST

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) COMMUNITY CARE BEHAVIORAL HEALTH ORGANIZATION		A	2,422,001.	COST
(2) CORE NETWORK, LLC		A	660,563.	COST
(3) CURAVI HEALTH, INC.		A	1,809.	COST
(4) MEDICAL ARCHIVAL SYSTEM, INC.		A	93,406.	COST
(5) PITTSBURGH LIFETIME CARE COMMUNITY		A	404,500.	COST
(6) UPMC EAST		A	305,348.	COST

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) EBENEFITS SOLUTIONS, LLC		A	350,951.	COST
(2) H.C. PHARMACY CENTRAL INC.		A	393,737.	COST
(3) UPMC COMMUNITY PROVIDER SERVICES		A	88,072.	COST
(4) UPMC BENEFIT MANAGEMENT SERVICES, INC.		A	1,667,388.	COST
(5) UPMC HEALTH PLAN INC.		A	13,543,752.	COST
(6) UPMC MAGEE - WOMENS HOSPITAL		A	1,237,154.	COST

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	ONCOLOGY-HEMATOLOGY ASSOCIATION, INC.	A	35,445.	COST
(2)	UNIVERSITY OF PITTS CANCER INST CANCER SVCS	A	189,571.	COST
(3)	UPMC PRESBYTERIAN SHADYSIDE	A	20,911,508.	COST
(4)	PENSIAMO, INC.	A	69,665.	COST
(5)	UPMC LEADER SURGERY CENTER, LLC	A	14,094.	COST
(6)	SAFE HARBOR BEHAVIORIAL HEALTH OF UPMC HAMOT	A	35,457.	COST

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	SBI QUALIFYING SOLUTIONS, LLC	A	426,803.	COST
(2)	ASBURY HEIGHTS OF UPMC	A	986,161.	COST
(3)	UPMC ST. MARGARET	A	277,054.	COST
(4)	UPMC WELLSBORO	A	484,156.	COST
(5)	UNIVERSITY PITTSBURGH PHYSICIANS	A	4,417,710.	COST
(6)	UPMC COMMUNITY MEDICINE INC.	A	1,480,810.	COST

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) RXANTE, INC.		A	180,000.	COST
(2) UPMC BEDFORD		B	411,342.	ACTUAL
(3) UPMC BRADDOCK		B	71,082.	ACTUAL
(4) UPMC HAMOT		B	19,205,953.	ACTUAL
(5) UPMC PRESBYTERIAN SHADYSIDE		B	42,803,722.	ACTUAL
(6) UNIVERSITY OF PITTSBURGH PHYSICIANS		B	9,620,000.	ACTUAL

Schedule R (Form 990) 2020

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	UPMC HOLDING COMPANY, INC.	B	2,300,000.	ACTUAL
(2)	UPMC HORIZON	B	18,969,512.	ACTUAL
(3)	UPMC JAMESON	B	11,812,266.	ACTUAL
(4)	UPMC JAMESON HEALTHCARE FOUNDATION	B	1,036,542.	ACTUAL
(5)	UPMC KANE	B	2,760,949.	ACTUAL
(6)	UPMC LOCK HAVEN	B	15,964,559.	ACTUAL

Schedule R (Form 990) 2020

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) UPMC MERCY		B	117,101,093.	ACTUAL
(2) UPMC NORTHWEST		B	7,160,938.	ACTUAL
(3) UPMC PHYSICIAN SERVICES HOLDING COMPANY		B	23,500,000.	ACTUAL
(4) UPMC ST. MARGARET		B	22,939,333.	ACTUAL
(5) DIVINE PROVIDENCE HOSPITAL		B	8,776,938.	ACTUAL
(6) UPMC SUNBURY		B	578,089.	ACTUAL

Schedule R (Form 990) 2020

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

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	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	UPMC WILLIAMSPORT	B	19,078,573.	ACTUAL
(2)	ASTRATA, INC.	B	4,100,000.	ACTUAL
(3)	GENERIAN PHARMACEUTICALS, INC.	B	7,009,999.	ACTUAL
(4)	UPMC INTERNATIONAL HOLDINGS, INC.	B	500,000.	ACTUAL
(5)	UPMC INVESTMENTS LTD	B	16,332,704.	ACTUAL
(6)	BLUESPHERE BIO	B	45,637,472.	ACTUAL

Schedule R (Form 990) 2020

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

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	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) NOVIMAB CORP		B	10,000,000.	ACTUAL
(2) REALYZE INTELLIGENCE, INC.		B	1,620,000.	ACTUAL
(3) HEALTH FIDELITY, INC.		B	426,429.	ACTUAL
(4) COMMUNITY CARE BEHAVIORAL HEALTH ORGANIZATION		E	60,000,000.	ACTUAL
(5) UPMC HEALTH BENEFITS, INC.		E	40,000,000.	ACTUAL
(6) UPMC HEALTH OPTIONS, INC.		E	60,000,000.	ACTUAL

Schedule R (Form 990) 2020

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
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r Other transfer of cash or property to related organization(s)	1r	
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	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) UPMC FOR YOU		E	40,000,000.	ACTUAL
(2) CATHEDRAL (RE) INSURANCE COMPANY LTD.		F	7,500,000.	ACTUAL
(3) FORBES REINSURANCE COMPANY LTD.		F	5,000,000.	ACTUAL
(4) PANTHER REINSURANCE COMPANY LTD		F	2,500,000.	ACTUAL
(5) UPMC HEALTH COVERAGE, INC.		F	3,600,000.	ACTUAL
(6) UPMC HEALTH PLAN, INC.		F	88,900,000.	ACTUAL

Schedule R (Form 990) 2020

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	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
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c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
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	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	UPMC HOLDING COMPANY, INC.	F	29,242,000.	ACTUAL
(2)	UNIVERSITY OF PITTSBURGH PHYSICIANS	P	4,004,058.	COST
(3)	COMMUNITY CARE BEHAVIORAL HEALTH ORGANIZATION	P	395,267.	COST
(4)	UPMC FOR YOU, INC.	P	1,212,886.	COST
(5)	UPMC HEALTH PLAN, INC.	P	1,424,063.	COST
(6)	UPMC HEALTH BENEFITS, INC.	P	263,511.	COST

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	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
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	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	UPMC HEALTH OPTIONS, INC.	P	395,267.	COST
(2)	UPMC ALTOONA	Q	3,841,352.	COST
(3)	UPMC BEDFORD	Q	307,568.	COST
(4)	UPMC CHILDREN'S HOSPITAL OF PITTSBURGH	Q	4,642,378.	COST
(5)	CHILDREN'S COMMUNITY CARE	Q	56,491.	COST
(6)	CHARLES E. COLE MEMORIAL HOSPITAL	Q	279,555.	COST

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	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
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	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) CORE NETWORK, LLC		Q	88,723.	COST
(2) UPMC COMMUNITY PROVIDER SERVICES		Q	729,190.	COST
(3) UPMC EAST		Q	1,053,720.	COST
(4) UPMC HAMOT		Q	3,692,329.	COST
(5) FORBES REINSURANCE CO., LTD		Q	55,279.	COST
(6) UPMC HOME HEALTHCARE OF CENTRAL PENNSYLVANIA		Q	83,141.	COST

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a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
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	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	HEMATOLOGY ONCOLOGY ASSOCIATION	Q	51,935.	COST
(2)	UPMC BENEFIT MANAGEMENT SERVICES, INC.	Q	433,096.	COST
(3)	COMMUNITY CARE BEHAVIORAL HEALTH ORGANIZATION	Q	399,060.	COST
(4)	UPMC HEALTH PLAN, INC.	Q	602,358.	COST
(5)	UPMC HEALTH OPTIONS, INC.	Q	636,953.	COST
(6)	UPMC FOR YOU, INC.	Q	2,534,389.	COST

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	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
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	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	UPMC HORIZON	Q	837,248.	COST
(2)	STRATEGIC BUSINESS INITIATIVES, LLC	Q	102,812.	COST
(3)	UPMC JAMESON	Q	630,333.	COST
(4)	UPMC MAGEE-WOMENS HOSPITAL	Q	5,579,923.	COST
(5)	UPMC MCKEESPORT	Q	823,199.	COST
(6)	UPMC MERCY	Q	2,426,521.	COST

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	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
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o Sharing of paid employees with related organization(s)	1o	
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	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) UPMC PASSAVANT		Q	2,401,676.	COST
(2) UPMC NORTHWEST		Q	742,952.	COST
(3) UPMC HOME HEALTHCARE OF WESTERN PENNSYLVANIA		Q	81,174.	COST
(4) ONCOLOGY-HEMATOLOGY ASSOCIATION, INC.		Q	280,001.	COST
(5) UNIVERSITY OF PITTS CANCER INST CANCER SVCS		Q	309,253.	COST
(6) UPMC OVERSEAS, INC.		Q	90,282.	COST

Schedule R (Form 990) 2020

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	UPMC PRESBYTERIAN SHADYSIDE	Q	11,421,800.	COST
(2)	RENAISSANCE FAMILY PRACTICE - UPMC, INC.	Q	84,114.	COST
(3)	UPMC ST. MARGARET	Q	1,452,714.	COST
(4)	UPMC WILLIAMSPORT	Q	1,821,060.	COST
(5)	UNIVERSITY OF PITTSBURGH PHYSICIANS	Q	5,425,251.	COST
(6)	UPMC CHAUTAUQUA AT WCA	Q	765,776.	COST

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	UPMC COMMUNITY MEDICINE, INC.	Q	1,196,511.	COST
(2)	FREEDOM INSURANCE COMPANY	R	1,209,277.	ACTUAL
(3)	UPMC ALTOONA	S	31,304,604.	ACTUAL
(4)	UPMC CHILDREN'S HOSPITAL OF PITTSBURGH	S	85,466,362.	ACTUAL
(5)	UPMC EAST	S	5,795,089.	ACTUAL
(6)	UPMC MAGEE-WOMENS HOSPITAL	S	96,493,757.	ACTUAL

Schedule R (Form 990) 2020

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	
b Gift, grant, or capital contribution to related organization(s)	1b	
c Gift, grant, or capital contribution from related organization(s)	1c	
d Loans or loan guarantees to or for related organization(s)	1d	
e Loans or loan guarantees by related organization(s)	1e	
f Dividends from related organization(s)	1f	
g Sale of assets to related organization(s)	1g	
h Purchase of assets from related organization(s)	1h	
i Exchange of assets with related organization(s)	1i	
j Lease of facilities, equipment, or other assets to related organization(s)	1j	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	
o Sharing of paid employees with related organization(s)	1o	
p Reimbursement paid to related organization(s) for expenses	1p	
q Reimbursement paid by related organization(s) for expenses	1q	
r Other transfer of cash or property to related organization(s)	1r	
s Other transfer of cash or property from related organization(s)	1s	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	UPMC MCKEESPORT	S	171,254,541.	ACTUAL
(2)	UPMC MUNCY	S	3,844,411.	ACTUAL
(3)	UPMC PASSAVANT	S	16,780,346.	ACTUAL
(4)	UPMC WELLSBORO	S	11,056,703.	ACTUAL
(5)				
(6)				

Part VI **Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(1)	(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512 - 514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
					Yes	No			Yes	No		Yes	No	
(1)														
(2)														
(3)														
(4)														
(5)														
(6)														
(7)														
(8)														
(9)														
(10)														
(11)														
(12)														
(13)														
(14)														
(15)														
(16)														

Schedule R (Form 990) 2020

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

SCHEDULE R - RELATED ORGANIZATIONS AND UNRELATED PARTNERSHIPS

THE BELOW ORGANIZATIONS ARE INCLUDED IN THE UPMC GROUP EXEMPTION AND FORM

990. THESE ORGANIZATIONS ARE NOT REQUIRED TO BE LISTED IN SCHEDULE R

PART III.

CENTER FOR EMERGENCY MEDICINE OF WESTERN PENNSYLVANIA

UPMC OB/GYN JOINT VENTURE INC.

BUTLER HEALTH SYSTEM/UPMC MUSCULOSKELETAL JOINT VENTURE INC.

CENTRAL PENNSYLVANIA MEDICAL FOUNDATION, INC.

CHILDREN'S ADVOCACY CENTER OF LAWRENCE COUNTY

UPMC CHILDREN'S HOSPITAL OF PITTSBURGH

UPMC COMMUNITY CARE BEHAVIORAL HEALTH ORGANIZATION

CRANBERRY PLACE

ERIE PHYSICIAN NETWORK-UPMC, INC.

HOME NURSING AGENCY AFFILIATES

UPMC HOME HEALTHCARE OF CENTRAL PENNSYLVANIA

HOME NURSING AGENCY FOUNDATION

UPMC JAMESON

JAMESON HEALTH CARE FOUNDATION

JAMESON MEDICAL CARE, INC.

UPMC BEHAVIORAL HEALTH OF THE ALLEGHENIES

UPMC MAGEE-WOMENS HOSPITAL

MON YOUGH COMMUNITY SERVICES, INC.

REGIONAL HEALTH SERVICES INC.

SUGARCREEK STATION

THE HERITAGE SHADYSIDE

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

UNIVERSITY HEALTH CENTER OF PITTSBURGH

UNIVERSITY OF PITTSBURGH CANCER INSTITUTE CANCER SERVICES

UNIVERSITY OF PITTSBURGH PHYSICIANS

UPMC ADVANCED PRACTICE PROVIDERS

UPMC ALTOONA

UPMC ALTOONA FOUNDATION

UPMC ALTOONA PARTNERSHIP FOR A HEALTHY COMMUNITY

UPMC BEDFORD

UPMC CHAUTAUQUA SERVICES INC.

UPMC COMMUNITY MEDICINE, INC.

UPMC COMMUNITY PROVIDER SERVICES

UPMC EAST

UPMC EMERGENCY MEDICINE, INC.

UPMC FOR YOU, INC.

UPMC HAMOT

UPMC HORIZON

UPMC HORIZON COMMUNITY HEALTH FOUNDATION

UPMC INTERNATIONAL HOLDINGS, INC.

UPMC LOCUM CLINICIANS

UPMC MCKEESPORT

UPMC MERCY

UPMC NORTHWEST

UPMC OVERSEAS, INC.

UPMC PASSAVANT

UPMC PRESBYTERIAN SHADYSIDE

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

UPMC ST. MARGARET

UPMC HOME HEALTHCARE OF WESTERN PENNSYLVANIA

UPMC KANE

UPMC SUSQUEHANNA

UPMC MUNCY

DIVINE PROVIDENCE HOSPITAL OF THE SISTERS OF CHRISTIAN CHARITY

SUSQUEHANNA PHYSICIAN SERVICES

SUSQUEHANNA HEALTH SYSTEM INNOVATION CENTER, INC.

SUSQUEHANNA HEALTH FOUNDATION

UPMC WILLIAMSPORT

LAUREL HEALTH SYSTEM

UPMC WELLSBORO

TIOGA HEALTH CARE PROVIDERS

UPMC LOCK HAVEN

UPMC SUNBURY

AUUE, INC.

SAFE HARBOR BEHAVIORAL HEALTH OF UPMC HAMOT

UPMC HOME CARE MANAGEMENT SERVICES

ENTITIES REPORTED IN PARTS I THROUGH IV THAT ARE MARKED WITH AN * ARE NOT

TECHNICALLY RELATED ORGANIZATIONS, AS DEFINED IN THE FORM 990

INSTRUCTIONS OF UPMC AS THE REQUISITE CONTROL FOR A PARENT, SUBSIDIARY,

BROTHER/SISTER RELATIONSHIP DID NOT EXIST DURING THE FISCAL YEAR ENDED

JUNE 30, 2021. HOWEVER, BECAUSE THESE ENTITIES ARE AFFILIATED WITH UPMC

AND THE UPMC PARENT ORGANIZATION HOLDS CERTAIN POWERS WITH RESPECT TO

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

SUCH ENTITIES, UPMC IS ELECTING TO DISCLOSE THE ENTITIES AS RELATED ORGANIZATIONS IN SCHEDULE R IN THE INTEREST OF TRANSPARENCY.

PART V TRANSACTIONS WITH RELATED ORGANIZATIONS

PART V IN GENERAL THE CASH MANAGEMENT POLICY OF UPMC IS TO TEMPORARILY TRANSFER ALL EXCESS FUNDS AVAILABLE FROM EXEMPT SUBSIDIARIES TO UPMC, THE PARENT ENTITY. THESE ARE NOT CONSIDERED A TRANSFER OF NET ASSETS FOR WHICH DISCLOSURE IS REQUIRED IF 25% OR MORE OF NET ASSETS ARE TRANSFERRED.